

PHOTOELECTRON SPECTRA OF UNSATURATED OXIDES
II. 2,5-DIHYDROFURAN DERIVATIVES

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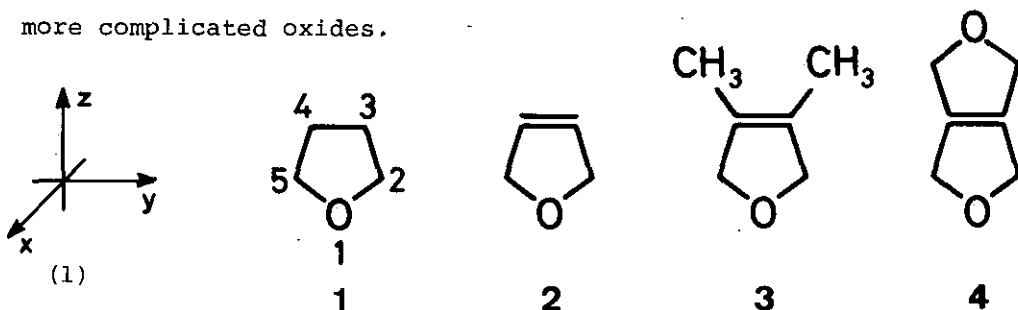
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Photoelectron spectra and STO-3G calculations for 2,5-dihydrofuran and two related compounds are reported. These results, in conjunction with the deductions drawn from localized STO-3G orbital matrix elements, confirm that the interaction between the oxygen lone pair orbital(s) and the double bond π -orbital in the compounds studied is "through bond" in nature.

In the preceding contribution [1] the photoelectron spectra of some unsaturated, cyclic oxides derived from tetrahydropyran and 1,4-dioxane have been assigned on the basis of ab initio STO-3G calculations [2]. In this communication we extend the investigation to derivatives of 2,5-dihydrofuran 2, namely 3,4-di-

methyl-2,5-dihydrofuran 3 and 3,7-dioxabicyclo[3.3.0]oct-1(5)-ene 4. Ultimately, it is our aim to develop a set of parameters which can be used in a simple equivalent bond orbital model of more complicated oxides.



The saturated parent compound tetrahydrofuran 1 and the unsaturated oxide 2 have been investigated first by Bain et al. [3] and then in greater detail by Schmidt & Schweig [4] who have shown that the previously assumed "through space" interaction between the oxygen lone pair orbital $2p(n)$ and the double bond π -orbital $\pi_{3,4}$ of 2 [3] is small, and that their hyperconjugative "through bond" interaction via the two methylene groups is responsible for the observed split of 1.4 eV between the bands (1) (9.18 eV) and (2) (10.62 eV) in the photoelectron spectrum of 2. This important conclusion, which has crucial consequences for the nodal properties of the two canonical π -orbitals $3b_1$ and $2b_1$ of 2, received strong support from other results also obtained by Schweig et al. for 2,5-dihydrothiophene [5], methyl-substituted 1-sila- or 1-germa-cyclopent-3-ene [6] and for bicyclic oxides [7]. Again, the work reported here is in complete agreement with the assignment of the photoelectron spectrum of 2 and its rationalization, as proposed by Schmidt & Schweig [4].

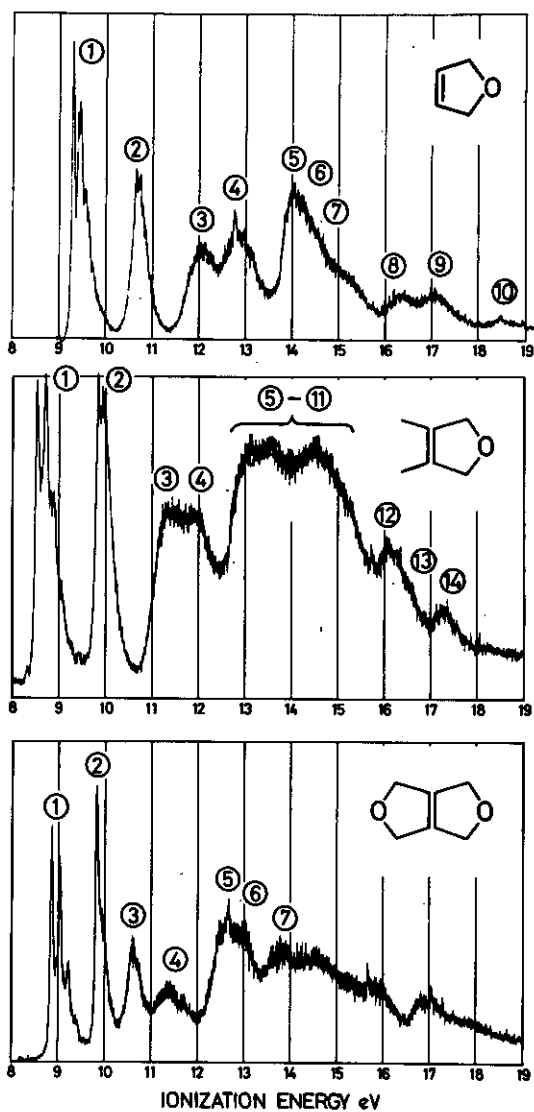



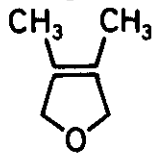

Figure 1

He(I α) photoelectron spectra of 2,5-dihydrofuran (2), 3,4-dimethyl-2,5-dihydrofuran (3) and 3,7-dioxabicyclo[3.3.0]oct-1(5)-ene (4).

Experimental Results. The He(I α) photoelectron spectra of 2, 3 and 4, recorded on a modified Perkin-Elmer photoelectron spectrometer (resolution ~ 30 meV) and internally calibrated with rare gas mixtures, are displayed in Figure 1. The positions I_j^m of the band maxima, which are close to the vertical ionization energies I_j^v are collected in Table 1 together with the proposed assignment and the vibrational spacings of the dominant fine-structure. In the case of 2 the ionization energies measured previously [3][4] have been included for the sake of comparison (cf. footnote b) to Table 1).

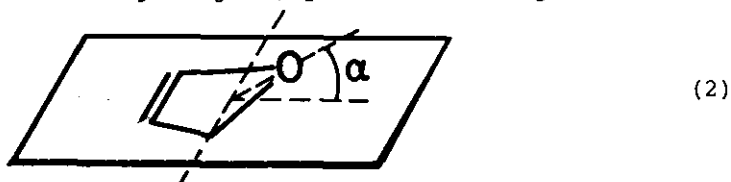
Table 1

Ionization energies I_j^m of 2,5-dihydrofuran (2), 3,4-dimethyl-2,5-dihydrofuran (3) and 3,7-dioxabicyclo[3.3.0]oct-1(5)-ene (4). Values in eV. Precision ± 0.02 eV if two decimals given, otherwise ± 0.1 eV. Precision of the vibrational spacings $\tilde{\nu}$ (cm^{-1}) is approximately ± 40 cm^{-1} .

Band	 <u>2</u>			 <u>3</u>			 <u>4</u>		
	Orb. (a)	I_j^m (b)	$\tilde{\nu}$	Orb. (a)	I_j^m	$\tilde{\nu}$	Orb. (c)	I_j^m	$\tilde{\nu}$
①	3b ₁	9.18	1420 ^{d)}	4b ₁	8.54	1480	3b _{3u}	8.85	1480
②	2b ₁	10.62	560	3b ₁	9.81	900	2b _{2g}	9.80	1200
③	9a ₁	12.0		12a ₁	11.3		2b _{3u}	10.6	
④	6b ₂	12.7		9b ₂	11.9		6b _{1u}	11.3	
⑤	8a ₁	13.9		11a ₁			8a _g	12.6	
⑥	5b ₂	14.6					4b _{3g}	12.9	
⑦	1a ₂	15.3					7a ₃	13.8	

a) Assumed symmetry C_{2v}. b) Values obtained in previous work, in eV: ①, 9.14 [3], 9.16 [4]; ②, 10.59 [3], 10.57 [4]. c) Assumed symmetry D_{2h}. d) Secondary vibrational spacing 1060 cm^{-1} .

STO-3G Orbital Models. The molecules 2, 3 and 4 were assumed to be planar, belonging to the symmetry groups C_{2v} and D_{2h} respectively. For 2 this is in keeping with the conclusions reached by Ueda & Shimanouchi [8] from an analysis of the ring puckering vibration. In agreement with their results, STO-3G calculations [2] for 2 with different out-of-plane bending angles α , defined according to the following diagram, yielded a flat potential with



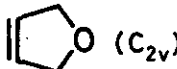
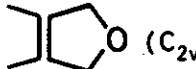

a minimum for $\alpha = 0$. The same has been assumed to be true for 3 and 4. Thus, all three molecules should exhibit strict σ/π -separation. Note that with the definitions of the axes given in (1) the π -orbitals belong to the irreducible representations A_2 and B_1 of C_{2v} (molecules 2 and 3) or B_{1g} , B_{2g} , A_u and B_{3u} of D_{2h} (molecule 4).

The STO-3G [2] calculations were carried out using standard bond lengths [9] throughout, and C_{2v} (for 2, 3) or D_{2h} symmetry (for 4). This leads to the following structural parameters:

Bond	Length	Angle	Degrees	
O ₍₁₎ -C ₍₂₎	143 pm	O ₍₁₎ C ₍₂₎ C ₍₃₎	106.5°	
C ₍₂₎ -C ₍₃₎	152 pm	C ₍₂₎ C ₍₃₎ C ₍₄₎	109.0°	
C ₍₃₎ -C ₍₄₎	134 pm	C ₍₂₎ O ₍₁₎ C ₍₅₎	109.1°	(3)
C ₍₂₎ -H	109 pm	HC ₍₂₎ H	110°	
C ₍₃₎ -H	108 pm	HC ₍₃₎ C ₍₄₎	125.5°	

Table 2

STO-3G orbital energies $\epsilon_j^{\text{STO-3G}}$ and ionization energies $I_j^{\text{STO-3G}}$ derived from them according to (4). All values in eV.

j	 (C _{2v})				 (C _{2v})				 (D _{2h})			
	$-\epsilon_j^{\text{STO-3G}}$	Orb.	$I_j^{\text{STO-3G}^a}$	$I_j^{\text{STO-3G}^b}$	$-\epsilon_j^{\text{STO-3G}}$	Orb.	$I_j^{\text{STO-3G}^a}$	$I_j^{\text{STO-3G}^b}$	$-\epsilon_j^{\text{STO-3G}}$	Orb.	$I_j^{\text{STO-3G}^a}$	$I_j^{\text{STO-3G}^b}$
1	7.89	3b ₁	9.57	9.08	7.25	4b ₁	9.10	8.54	7.47	3b _{3u}	9.26	8.73
2	9.62	2b ₁	10.84	10.55	9.21	3b ₁	10.54	10.20	9.24	2b _{2g}	10.56	10.22
3	10.65	9a ₁	11.60	11.41	10.22	12a ₁	11.28	11.05	10.02	2b _{3u}	11.13	10.88
4	12.40	6b ₂	12.88	12.90	11.62	9b ₂	12.31	12.23	10.16	6b _{1u}	11.24	11.00
5	13.53	8a ₁	13.71	13.85	12.29	11a ₁	12.80	12.80	11.80	8a _g	12.44	12.39
6	13.90	5b ₂	13.99	14.17	13.49	8b ₂	13.68	13.82	12.45	4b _{3g}	12.92	12.94
7	14.78	1a ₂	14.63	14.91	14.23	2a ₂	14.23	14.44	12.83	7a _g	13.20	13.26
8	16.93	1b ₁	16.21	16.73	14.26	7b ₂	14.25	14.47	13.72	5b _{2u}	13.85	14.01
9	17.53	7a ₁	16.65	17.23	14.87	1a ₂	14.70	14.98	14.37	3b _{3g}	14.33	14.50
10	19.26	4b ₂	17.93	18.69	15.04	2b ₁	14.82	15.13	14.76	1a _u	14.62	14.89

a) STO-3G ionization energies scaled according to eqn. (4) with parameters from Ref. [1].

b) STO-3G ionization energies scaled according to eqn. (4) with parameters from this work.

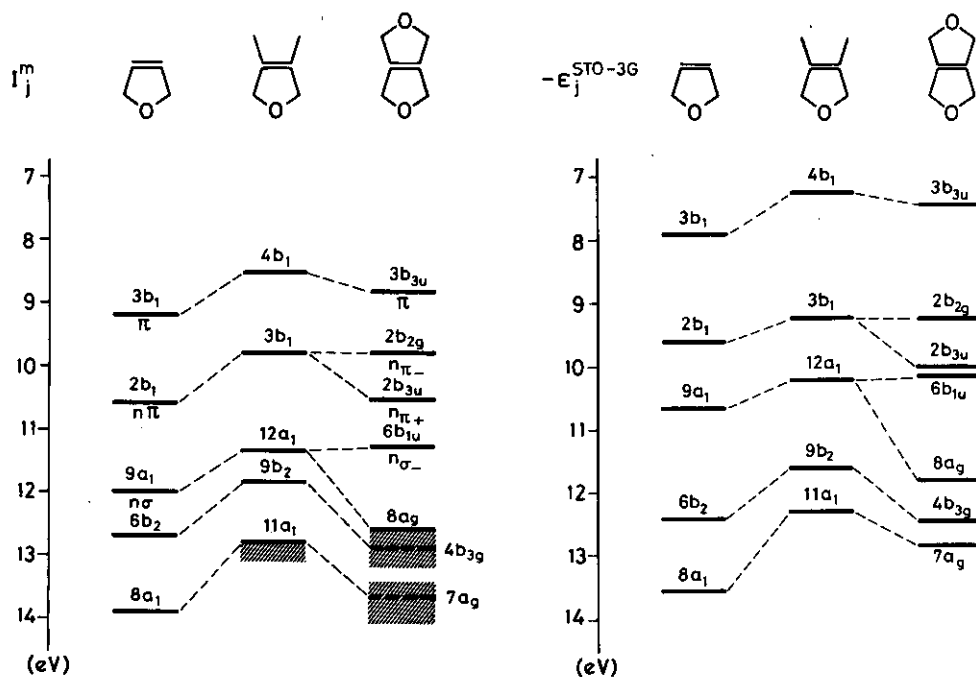


Figure 2

Correlation diagram of observed ionization energies, I_j^m , and calculated orbital energies, $-\epsilon_j^{\text{STO-3G}}$.

For the two methyl groups in 3 all bond angles were taken to be tetrahedral.

The orbital energies $\epsilon_j^{\text{STO-3G}}$ and the symmetry assignment of the canonical orbitals are listed in Table 2 and compared to the experimental values I_j^m in the orbital correlation diagram shown in Figure 2. Clearly, the trends that occur in the observed ionization energies I_j^m are well reproduced by the calculated orbital energies $\epsilon_j^{\text{STO-3G}}$.

To obtain quantitative estimates of the ionization energies, it is necessary to transform the $\epsilon_j^{\text{STO-3G}}$ into $I_j^{\text{STO-3G}}$ values, using a least squares adjusted scaling function of the type

$$I_j^{\text{STO-3G}} = a + b \epsilon_j^{\text{STO-3G}} \quad (4)$$

used in the previous paper [1]. However, the parameters a and b depend on the type of molecule investigated and a calibrated relationship (4) should only be used for closely similar systems. Whereas $a = 3.77$ eV, $b = -0.737$ were the values obtained in ref. [1], the present set of data (13 degrees of freedom; correlation coefficient 0.984) yields $a = 2.41$ and $b = -0.846$, i.e. a steeper regression line. In Table 2 we give the predicted $I_j^{\text{STO-3G}}$ values for both sets of parameters to exemplify the size of error which arises if such scaling functions are carried over from one set of compounds, i.e. 1,4-dioxin and related systems [1], to another, i.e. 2,5-dihydrofuran derivatives.

Table 3

Matrix elements for π -type localized STO-3G orbitals of unsaturated oxides. Self energies are denoted by A , 1,2-interaction and 1,3-interaction terms by B and Γ respectively. All values in eV.

Cmpd.	A_π	A_0	$A_{\text{CH}_2}^a$	$A_{\text{CH}_3}^b$	$B_{\text{CH}_2,0}^a$	$B_{\text{CH}_2,\pi}^a$	$B_{\text{CH}_3,\pi}^b$	$\Gamma_{O,\pi}$
<u>2</u>	-9.04	-10.98	-14.56	-	-2.33	-1.59	-	-0.36
<u>3</u>	-8.85	-10.83	-14.42	-14.49	-2.32	-1.60	-1.51	-0.37
<u>4</u>	-9.29	-11.29	-14.80	-	-2.33	-1.59	-	-0.36

a) Lower index CH_2 refers to the π -type linear combination of the two geminal localized CH orbitals.

b) Lower index CH_3 refers to the π -type component of the degenerate pair of linear combinations of the three localized CH-orbitals, which is anti-symmetric relative to the plane of the molecule.

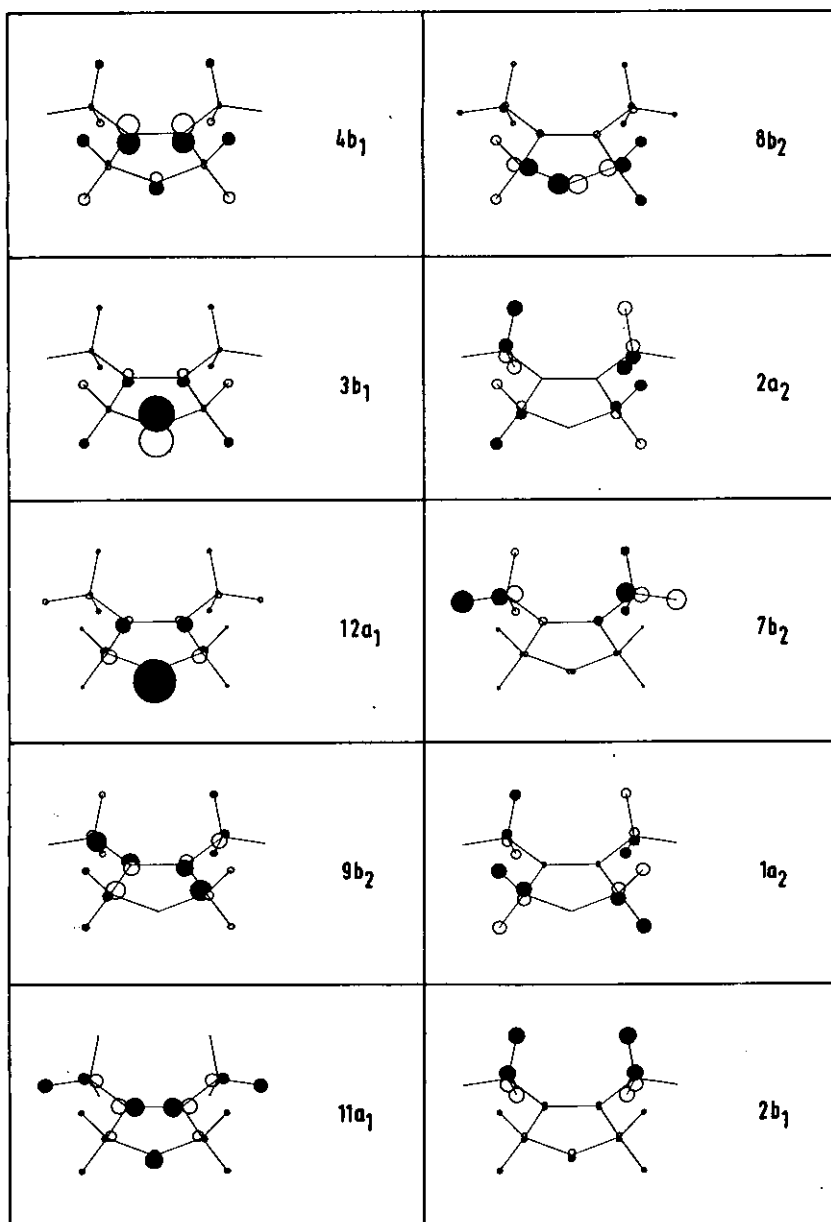


Figure 3

The ten highest occupied canonical molecular orbitals of 3.

To illustrate the character of the orbitals of 2 and 3 the ten highest occupied canonical STO-3G orbitals of 3 drawn by MOFLOT [10] are graphically displayed in Figure 3. Attention is drawn to the nodal properties of $4b_1$ and $3b_1$ which are in agreement with and support the conclusions drawn by Schmidt and Schweig [4,5].

Localized Molecular Orbitals. The STO-3G canonical orbitals of 2, 3 and 4 have been transformed into localized orbitals, using the Foster-Boys procedure [11]. For the π -type localized orbitals of these three compounds the self energies (A), vicinal interaction terms (B) and 1,3-interactions (Γ) between $O-2_p$ and the double bond π orbitals are collected in Table 3. As observed previously [1] the self energies reflect the average electronegativity of the close environment of the bond to which the particular localized orbital belongs, e.g. A_π lies higher in 3 than in 2, but lower in 4. In contrast the 1,2-interaction terms are reasonably constant. As expected, the transannular interaction $\Gamma_{O,\pi}$ between the oxygen lone pair 2p-orbital and the double bond π -orbital is small compared to B_{CH_2O} and $B_{CH_2,\pi}$ which explains the nodal properties of the highest occupied canonical orbital in each of the three compounds.

Discussion. On the basis of the STO-3G calculations, localized orbitals and the photoelectron spectroscopic results of Schmidt and Schweig [4,5], there can be little doubt that trans-

annular interactions in 2,5-dihydrofurans and related systems are of secondary importance. From Figure 3 and Tables 1 and 2 band ① of the spectra (Figure 1) corresponds to electron removal from an orbital predominantly double bond- π in character. Additional support for this is found in the band shapes and vibrational spacings. Band ③ of 2 and 3 arises from π -type orbitals located primarily on oxygen. It should be noted here that shifts observed for bands ① and ② of 2 due to methyl-substitution are approximately equal, in contrast to what one would expect on the basis of first-order perturbation theory. The two combinations of π -type orbitals on oxygen are split in 4, the in-phase combination being stabilized by through bond interaction.

Acknowledgements

This work is part 120 of project no. 2.759-0.77 of the Schweizerischer Nationalfonds zur Förderung der wissenschaftlichen Forschung (Part 119 [12]). T.B.J. thanks the Schweizerischer Nationalfonds for an International Post-doctoral Fellowship. Financial support by Ciba-Geigy SA, F. Hoffmann-La Roche & Cie. SA and Sandoz SA is gratefully acknowledged.

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Received, 25th September, 1978