

Supporting Information

ALTERNATIVE CHIRAL PREPARATIONS OF A SWAMINATHAN KETONE VIA ASYMMETRIC ALDOL REACTIONS MEDIATED BY CHIRAL AMINES BEARING A PYRROLIDINE

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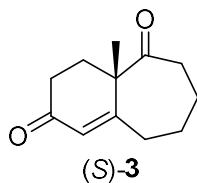
Experimental

IR spectra were recorded on a Perkin Elmer Spectorum One FT-IR spectrophotometer. ^1H - and ^{13}C -NMR spectra were recorded on a JEOL-AX-400 (^1H : 400 MHz, ^{13}C : 100 MHz) or JEOL JNM-ECZ600R (^1H : 600 MHz, ^{13}C : 150 MHz) spectrometer. All ^1H -NMR spectra were reported in ppm downfield of tetramethylsilane (TMS). All ^{13}C -NMR spectra were reported in ppm relative to CHCl_3 (77 ppm) and were obtained with ^1H decoupling. MS spectra were recorded on a JEOL-JMS-700V spectrometer. Optical purity was determined using a Hitachi HPLC ELITE LaChrom instrument equipped with a chiral stationary phase column. Optical rotations were measured with a JASCO D-2300 digital polarimeter. All reactions were performed under an argon atmosphere.

General procedure of the aldol reaction of **7** in Table 1 and 2

To a stirred solution of (*S*)-1-(2-pyrrolidinylmethyl)pyrrolidine (**10**) 73 mg (0.476 mmol) and trifluoroacetic acid (TFA) 55 μL (0.714 mmol) in anhydrous dimethylsulfoxide (DMSO, 0.5 mL) was added the trione (**7**)¹ 100 mg (0.476 mmol) at room temperature (25 °C). The reaction mixture was stirred at the same temperature for 93 h and was monitored by a reverse phase TLC (RP-18, MeOH/H₂O = 1:1, v/v).² The mixture was poured into 10 (w/v) % aqueous HCl and was extracted with ethyl acetate (AcOEt). The combined organic layer was washed with saturated aqueous NaHCO₃ and brine. After drying (Na₂SO₄), the solvent was removed under reduced pressure. The residue was chromatographed by a preparative HPLC instrument equipped with a reverse phase column (ODS silica gel, 75 μm , 20 mm ϕ \times 300 mm, eluent: MeOH/H₂O = 40:60 (v/v), flow rate: 10 mL/min) to afford (*S*)-**3** 43 mg (47%) as a colorless oil and the starting **7** 26 mg (26%) as colorless crystals.³ All spectroscopic data of (*S*)-**3** were identical with those reported.⁴ The optical purity was determined to be 64% ee by HPLC with a chiral stationary phase column. HPLC conditions: Chiralpak AS-H, EtOH/hexane = 10/90 (v/v), flow rate 1.0 mL/min, detected at 254 nm, t_{R} = 37.0 min for (*R*)-**3**, 42.5 min for (*S*)-**3**.

Typical procedure of the aldol reaction of **7** on a gram scale

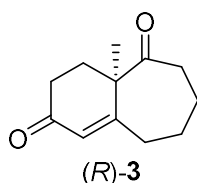


To a stirred solution of **10** 2.20 g (14 mmol) and TFA 1.6 mL (21 mmol) in anhydrous DMSO (15 mL) was added the trione (**7**) 3.0 g (14 mmol) at room temperature (25 °C). The reaction mixture was stirred at 50 °C for 26 h. The mixture was diluted with ethyl acetate (AcOEt) and was washed with 10 (w/v) % aqueous HCl, saturated aqueous NaHCO₃ and brine. After drying (Na₂SO₄), the solvent was removed

under reduced pressure. The residue was chromatographed on silica gel (AcOEt/hexane = 10:90, v/v) to afford (*S*)-**3** 2.52 g (92%) as a colorless oil. The optical purity was determined to be 55% ee by HPLC described above.

(*S*)-**3**: $[\alpha]_D^{21} +49.7$ (55% ee, *c* 1.5, CHCl₃), lit.^{4a} $[\alpha]_D^{24} +7.9$ (8% ee, *c* 1.0, CHCl₃).

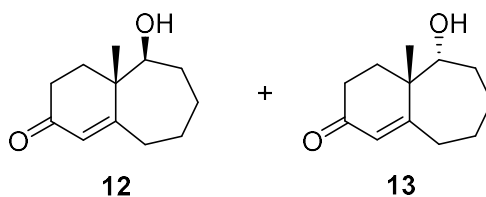
Preparation of (*R*)-**3**



According to Xu's method,^{4c} (*R*)-**3** was also prepared from trione (**7**). To a stirred solution of (*S*)-prolinamide (**8**) 56 mg (0.476 mmol) and acetic acid (AcOH) 27 μ L (0.476 mmol) in anhydrous dichloromethane (DCM, 2.5 mL) was added **7** 100 mg (0.476 mmol) at room temperature (25 $^{\circ}$ C). The reaction mixture was stirred at the same temperature for 48 h. The mixture was poured into 10 (w/v) % aqueous HCl and was extracted with ethyl acetate (AcOEt). The combined organic layer was washed with saturated aqueous NaHCO₃ and brine. After drying (Na₂SO₄), the solvent was removed under reduced pressure. The residue was chromatographed by a preparative HPLC instrument equipped with a reverse phase column (ODS silica gel, 75 μ m, 20 mm ϕ \times 300 mm, eluent: MeOH/H₂O = 40:60 (v/v), flow rate: 10 mL/min) to afford (*R*)-**3** 45 mg (49%) as a colorless oil and the starting **7** 32 mg (32%) as colorless crystals. All spectroscopic data of (*R*)-**3** were identical with those reported.^{4c} The optical purity was determined to be 51% ee by HPLC described above.

(*R*)-**3**: $[\alpha]_D^{24} -47.2$ (51% ee, *c* 1.3, CHCl₃).

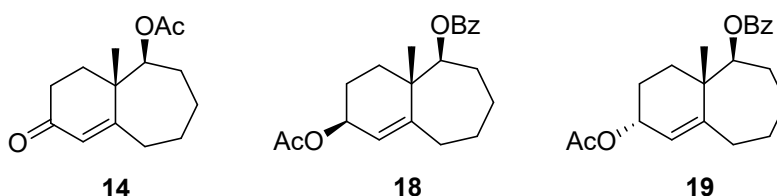
Synthesis of **12** and **13**.



To a stirred solution of (*S*)-**3** (55% ee) 2.49 g (13 mmol) in anhydrous THF (30 mL) was slowly added diisobutylaluminum hydride (DIBALH, 1.02 M in hexane) 32 mL (32 mmol) over 15 min in an ice bath. The reaction mixture was stirred at the same temperature for 1 h. Ammoniac water (28%, w/v) was carefully added and the mixture was stirred at room temperature (25 $^{\circ}$ C) for 3 h. The mixture was filtered through a Celite pad and the filtrate was extracted with AcOEt. The combined organic layer was washed with brine. After drying (Na₂SO₄), the solvent was removed under reduced pressure. The residue was used

to a next reaction without further purification. The residue 2.40 g was dissolved to anhydrous DCM (50 mL), and MnO_2 10.7 g (122 mmol) was added as one portion to the mixture at room temperature (25 °C). The mixture was stirred at the same temperature for 22 h and was filtered through a Celite pad. The filtrate was concentrated under reduced pressure. The diastereomeric ratio was determined by $^1\text{H-NMR}$ of crude products to be **12:13** = 82:18. The residue was chromatographed on silica gel (sphere silica gel, AcOEt/hexane = 20:80 to 30:70, v/v) to afford **12** 1.37 g (54%), a mixture of **12** and **13** 220 mg (9%) and **13** 327 mg (13%) as a colorless oil, respectively. All spectroscopic data were identical with those reported.¹

General procedure of the acetylation of **12**, **16** and **17**



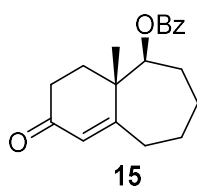
To a stirred solution of **12** 50 mg (0.52 mmol) in pyridine (1 mL) was added acetic anhydride (Ac_2O) 0.15 mL (1.54 mmol) at room temperature (25 °C). The mixture was stirred at the same temperature for 61 h. The solvent was removed under reduced pressure and the residue was dissolved to AcOEt. The mixture was washed with 10% aqueous HCl, sat. aqueous NaHCO_3 and brine, respectively. After drying (Na_2SO_4), the solvent was removed under reduced pressure. The residue was chromatographed (AcOEt/Hexane = 20/80, v/v) to afford **14** 97 mg (80%) as a white solid.

14: $^1\text{H-NMR}$ (400 MHz, CDCl_3), δ 1.21 (s, 3H), 1.39-1.53 (m, 2H), 1.58-1.78 (m, 2H), 1.74 (dt, $J = 5.3$ Hz, 13.5 Hz, 1H), 1.89-2.02 (m, 3H), 2.14 (s, 3H), 2.27-2.35 (m, 1H), 2.46-2.56 (m, 3H), 4.83 (d, $J = 8.7$ Hz, 1H), 5.86 (s, 1H). $^{13}\text{C-NMR}$ (100 MHz, CDCl_3), δ 20.4, 21.4, 22.1, 28.9, 29.3, 33.6, 33.8, 34.8, 43.1, 76.6, 128.8, 170.4, 171.2, 199.0. EIMS (m/z) 236 (M^+), 194 (100%), 208, 176, 137, 124, 109. HRMS calcd for $\text{C}_{14}\text{H}_{20}\text{O}_3$ 236.1412. Found 236.1405.

18: Yield: 96% as a colorless oil. IR (liquid film) ν (cm^{-1}) 1732, 1715, 1602, 1451, 1112, 1924, 963, 712. $^1\text{H-NMR}$ (400 MHz, CDCl_3), δ 1.22 (s, 3H), 1.38-1.90 (m, 8H), 1.92-2.12 (m, 2H), 2.05 (s, 3H), 2.23 (td, $J = 5.3$ Hz, 13.5 Hz, 1H), 2.40 (ddd, $J = 5.3$ Hz, 9.7 Hz, 14.5 Hz, 1H), 4.94 (dd, $J = 2.4$ Hz, 9.7 Hz, 1H), 5.26 (dt, $J = 3.4$ Hz, 6.3 Hz, 1H), 5.50 (d, $J = 2.9$ Hz, 1H), 7.47 (t, $J = 7.7$ Hz, 2H), 7.58 (tt, $J = 1.4$ Hz, 7.2 Hz, 1H), 8.07 (dd, $J = 1.4$ Hz, 7.7 Hz, 2H). $^{13}\text{C-NMR}$ (100 MHz, CDCl_3), δ 21.2, 21.4, 21.7, 24.4, 28.9, 29.3, 32.3, 32.4, 41.5, 69.1, 77.9, 124.2, 128.4, 129.5, 130.5, 132.9, 148.6, 165.7, 170.8. EIMS (m/z) 282 ($\text{M}^+ - \text{AcOH}$), 220 ($\text{M}^+ - \text{BzOH}$), 178, 160, 105 (100%), 77. HRMS calcd for $\text{C}_{14}\text{H}_{20}\text{O}_2$ ($\text{M}^+ - \text{AcOH}$) 220.1463. Found 220.1465.

19: Yield: 84% as a colorless oil. $[\alpha]_D^{24} = +118.6$ (55% ee, c 0.81, CHCl_3). IR (liquid film) ν (cm^{-1}) 1732, 1716, 1451, 1273, 1242, 712. $^1\text{H-NMR}$ (400 MHz, CDCl_3), δ 1.15 (s, 3H), 1.40 (ddd, $J = 3.4$ Hz, 7.7 Hz, 13.5 Hz, 1H), 1.44-1.56 (m, 2H), 1.58-1.59 (m, 6H), 1.91-2.10 (m 2H), 2.06 (s, 3H), 2.21 (td, $J = 5.3$ Hz, 13.0 Hz, 1H), 2.34-2.44 (m, 1H), 5.06 (dd, $J = 2.4$ Hz, 9.2 Hz, 1H), 5.22 (dd, $J = 4.3$ Hz, 9.2 Hz, 1H), 5.52 (d, $J = 4.3$ Hz, 1H), 7.47 (t, $J = 7.7$ Hz, 2H), 7.58 (tt, $J = 1.4$ Hz, 7.2 Hz, 1H), 8.97 (dd, $J = 1.4$ Hz, 8.2 Hz, 2H). $^{13}\text{C-NMR}$ (100 MHz, CDCl_3), δ 20.9, 21.5, 21.7, 24.2, 28.7, 29.4, 31.7, 32.3, 41.6, 68.2, 78.3, 123.5, 128.5, 129.5, 130.5, 132.9, 149.4, 165.8, 171.0. EIMS (m/z) 342 (M^+), 282, 220, 178, 160, 105 (100%), 77. HRMS calcd for $\text{C}_{21}\text{H}_{26}\text{O}_4$ 342.1831. Found 342.1823.

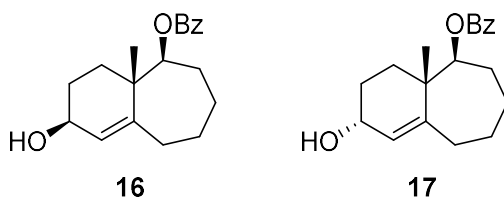
Synthesis of benzoate (**15**).



To a stirred solution of **12** 352 mg (1.8 mmol), triethylamine (TEA) 0.39 mL (2.7 mmol), 4-dimethylaminopyridine (DMAP) 333 mg (2.7 mmol) in anhydrous DCM (5 mL) was added benzoyl chloride (BzCl) 0.32 mL (2.7 mmol) in an ice bath. After stirring at the same temperature for 15 min, the mixture was further stirred at room temperature (25 °C) for 16.5 h. The solvent was removed under reduced pressure and the residue was dissolved to AcOEt. The mixture was washed with 10% aqueous HCl, sat. aqueous NaHCO_3 and brine respectively. After drying (Na_2SO_4), the solvent was removed under reduced pressure. The residue was chromatographed (AcOEt/Hexane = 10/90 to 15/85, v/v) to afford **15** 529 mg (98%) as a colorless gummy syrup.

15: $[\alpha]_D^{25} +69.3$ (55% ee, c 1.1, CHCl_3). IR (liquid film) ν (cm^{-1}) 1716, 1673, 1450, 1273, 1111, 711. $^1\text{H-NMR}$ (400 MHz, CDCl_3), δ 1.31 (s, 3H), 1.43-1.57 (m, 2H), 1.63-1.86 (m, 3H), 1.9802.19 (m, 3H), 2.42 (td, $J = 4.3$ Hz, 10.6 Hz, 1H), 2.51 (d, $J = 5.3$ Hz, 1H), 2.54 (dd, $J = 1.9$ Hz, 5.3 Hz, 1H), 2.65 (ddd, $J = 4.3$ Hz, 10.1 Hz, 12.6 Hz, 1H), 5.09 (dd, $J = 1.4$ Hz, 8.7 Hz, 1H), 5.89 (s, 1H), 7.50 (t, $J = 7.7$ Hz, 2H), 7.61 (tt, $J = 1.0$ Hz, 8.7 Hz, 1H), 8.08 (dd, $J = 1.4$ Hz, 8.9 Hz, 2H). $^{13}\text{C-NMR}$ (100 MHz, CDCl_3), δ 20.3, 21.8, 28.6, 29.2, 33.2, 33.7, 34.5, 43.2, 77.0, 128.47, 128.54, 129.5, 130.0, 133.2, 165.4, 170.9, 198.7. EIMS (m/z) 298 (M^+), 176, 105 (100%), 77. HRMS calcd for $\text{C}_{19}\text{H}_{22}\text{O}_3$ 298.1569. Found 298.1572.

Synthesis of alcohols (16) and (17).

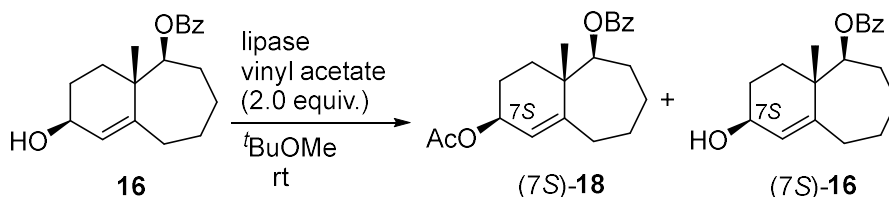


To a stirred solution of **15** 529 mg (1.8 mmol) in anhydrous MeOH (5 mL) was added NaBH₄ 170 mg (4.5 mmol) as a small portion over 15 min at -10 °C. After stirring at the same temperature for 2 h, acetone was added to the mixture to quench the reaction. The solvent was removed under reduced pressure and the residue was dissolved to AcOEt. The mixture was washed with sat. aqueous NaHCO₃ and brine respectively. After drying (Na₂SO₄), the solvent was removed under reduced pressure. The diastereomeric ratio was determined by ¹H-NMR of crude products to be **16**:**17** = 75:25. The residue was chromatographed (AcOEt/toluene = 5/95, v/v) to afford **16** 315 mg (59%), a mixture of **16** and **17** 42 mg (8%) and **17** 53 mg (10%) as a colorless gummy syrup, respectively.

16: [α]_D²² +17.3 (55% ee, *c* 1.2, CHCl₃). IR (liquid film) ν (cm⁻¹) 3369, 1714, 1601, 1450, 1314, 711. ¹H-NMR (400 MHz, CDCl₃), δ 1.21 (s, 3H), 1.39-1.88 (m, 9H, 1H: D₂O exchangeable), 1.93-2.08 (m, 2H), 2.21 (td, *J* = 5.3 Hz, 11.1 Hz, 1H), 2.38 (ddd, *J* = 5.3 Hz, 9.2 Hz, 14.0 Hz, 1H), 4.16-4.23 (brm, 1H), 4.93 (dd, *J* = 1.9 Hz, 9.2 Hz, 1H), 5.55 (d, *J* = 2.9 Hz, 1H), 7.47 (t, *J* = 7.7 Hz, 2H), 7.58 (tt, *J* = 1.4 Hz, 7.7 Hz, 1H), 8.07 (dd, *J* = 1.4 Hz, 8.2 Hz, 2H). ¹³C-NMR (100 MHz, CDCl₃), δ 21.3, 21.7, 28.0, 28.9, 29.4, 32.3, 41.5, 66.1, 78.1, 128.4, 129.5, 130.4, 132.9, 146.3, 165.7. EIMS (*m/z*) 298 (M⁺-2), 178, 160, 105 (100%), 77. HRMS calcd for C₁₉H₂₂O₃ (M⁺-2) 298.1569. Found 298.1552.

17: [α]_D²⁵ +66.6 (55% ee, *c* 1.1, CHCl₃). IR (liquid film) ν (cm⁻¹) 3391, 1715, 1699, 1601, 1451, 1274, 1116, 711. ¹H-NMR (400 MHz, CDCl₃), δ 1.14 (s, 3H), 1.31-1.56 (m, 3H), 1.57-2.06 (m, 8H, 1H: D₂O exchangeable), 2.18 (td, *J* = 5.3 Hz, 13.0 Hz, 1H), 2.34-2.44 (m, 1H), 4.17 (brs, 1H), 5.06 (dd, *J* = 1.9 Hz, 9.2 Hz, 1H), 5.58 (d, *J* = 3.9 Hz, 1H), 7.47 (t, *J* = 7.7 Hz, 2H), 7.58 (td, *J* = 1.4 Hz, 7.2 Hz, 1H), 8.07 (dd, *J* = 1.0 Hz, 8.2 Hz, 2H). ¹³C-NMR (100 MHz, CDCl₃), δ 21.0, 21.6, 27.6, 28.7, 29.4, 31.5, 32.1, 41.6, 65.2, 78.4, 127.8, 128.4, 129.5, 130.5, 132.8, 147.0, 165.7. EIMS (*m/z*) 298 (M⁺-2), 178, 160, 105 (100%), 77. HRMS calcd for C₁₉H₂₂O₃ (M⁺-2) 298.1569. Found 298.1566.

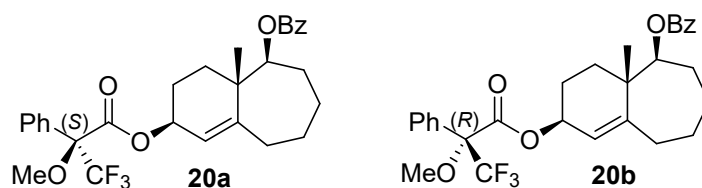
General procedure of the lipase-mediated asymmetric esterification of 16



To a stirred solution of **16** 50 mg (55% ee, 0.17 mmol) and lipase AS 120 mg (2.4 times the weight of **16**) in *t*-BuOMe (1 mL) was added vinyl acetate 31 μ L (0.33 mmol) at room temperature. The mixture was stirred at the same temperature (25 °C) for 68 h. The mixture was filtered through a Celite pad and the filtrate was concentrated under reduced pressure. The residue was chromatographed (AcOEt/hexane = 4/96 to 20/80, v/v) to afford **18** 13 mg (23%) and **16** 38 mg (76%) as a colorless oil, respectively. All spectroscopic data were identical with those described above. The optical purity of **18** was determined to be 88% ee by HPLC with a chiral stationary phase column. HPLC conditions: Chiralpak AD-H, 2-propanol/hexane = 2/98 (v/v), flow rate 1.0 mL/min, detected at 254 nm, t_R = 9.1 min for (7*S*)-**18**, 13.2 min for (7*R*)-**18**. The optical purity of **16** was determined to be 45% ee by HPLC with a chiral stationary phase column. HPLC conditions: Chiralpak AY-H, 2-propanol/hexane = 10/90 (v/v), flow rate 1.0 mL/min, detected at 254 nm, t_R = 7.1 min for (7*R*)-**16**, 8.1 min for (7*S*)-**16**.

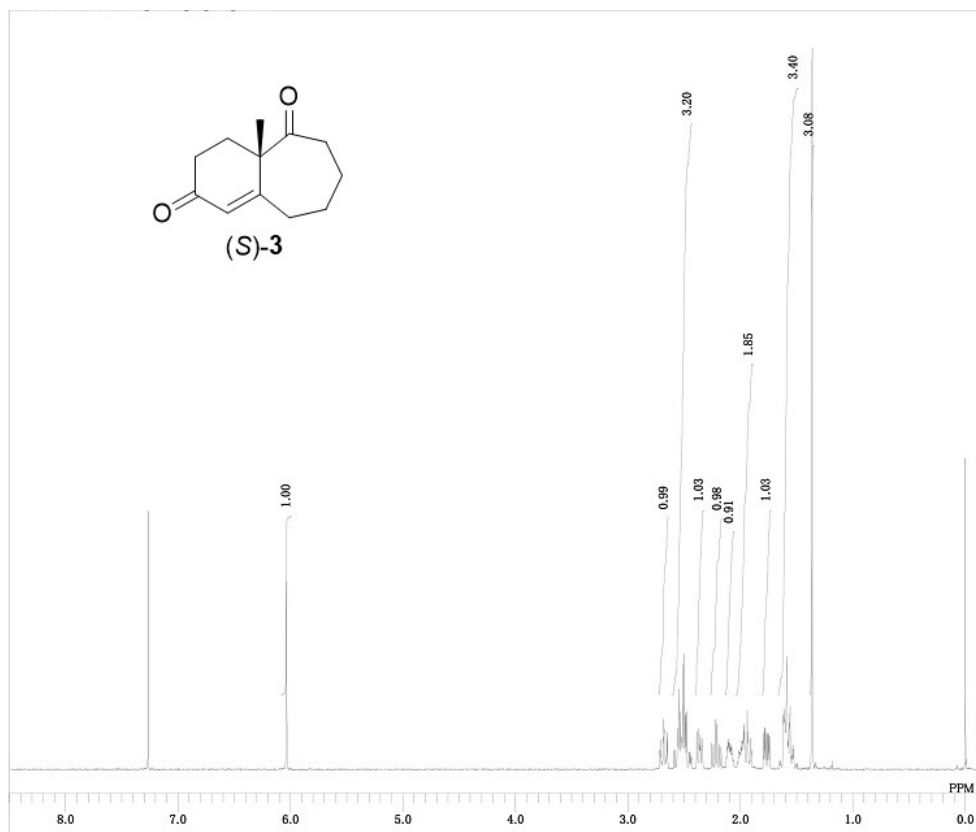
18: $[\alpha]_D^{22} -16.6$ (88% ee, c 1.3, CHCl₃).

General procedure for synthesis of Mosher's esters (**20a**) and (**20b**)

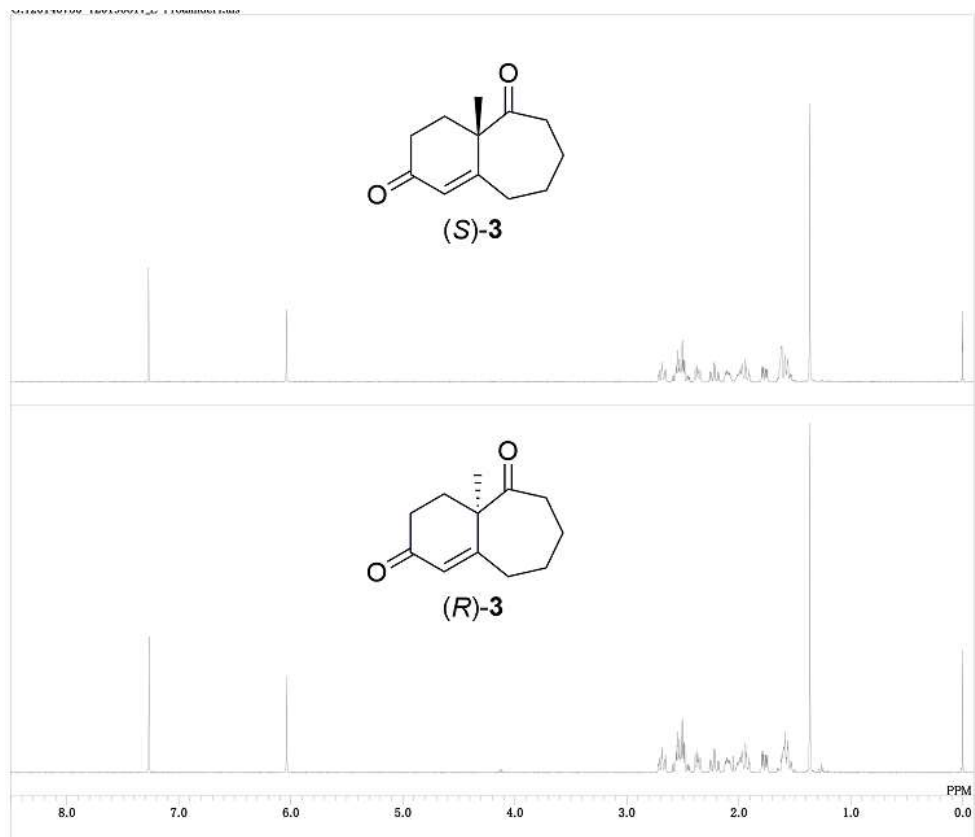
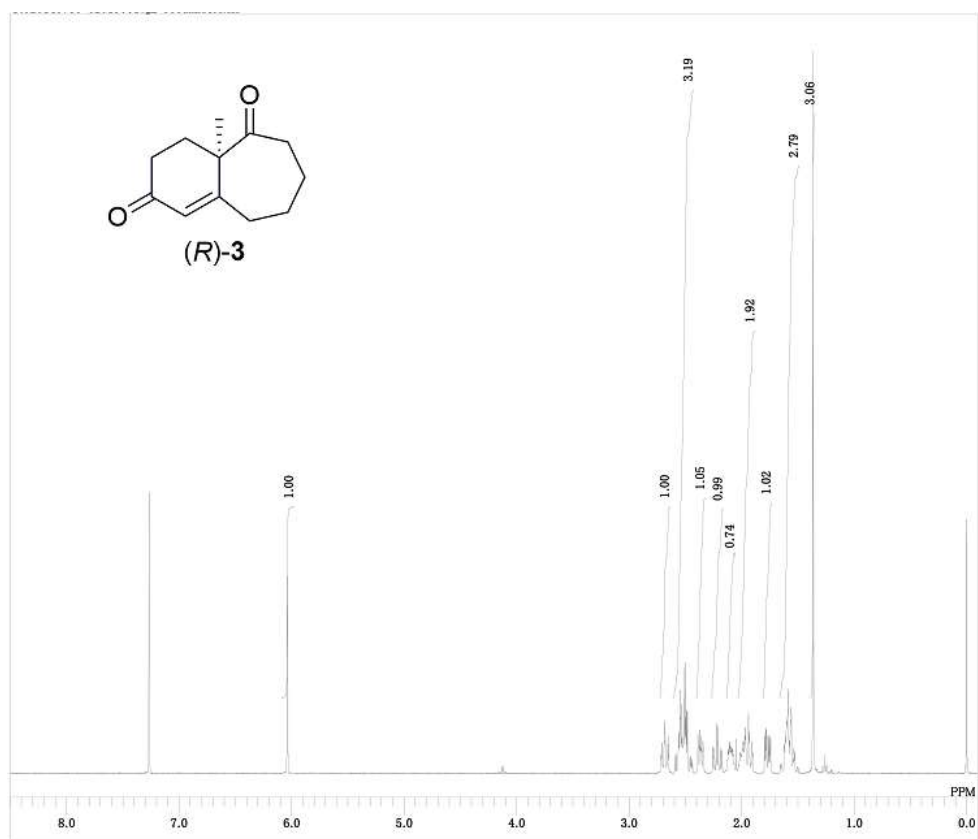


To a stirred solution of **16** 12 mg (55% ee, 40.7 μ mol), TEA 17 μ L (122 μ mol) and DMAP 15 mg (122 μ mol) in anhydrous DCM (0.2 mL) was added a solution of (*R*)-MTPACl 31 mg (122 μ mol) in DCM (0.3 mL) at room temperature (25 °C). The mixture was stirred at the same temperature for 1 h. The solvent was removed under reduced pressure and the residue was dissolved to AcOEt. The mixture was washed with 10% (w/v) aqueous HCl, sat. aqueous NaHCO₃ and brine respectively. After drying (Na₂SO₄), the solvent was removed under reduced pressure. The residue was chromatographed (AcOEt/hexane = 2/98, v/v) to afford a diastereomeric mixture of **20a** and *ent*-**20b** 19.4 mg (92%) as a colorless oil. ¹H-NMR of **20a** was measured without further purifications. (*R*)-MTPA ester (**20b**) was also obtained in 82% yield by using (*S*)-MTPACl.

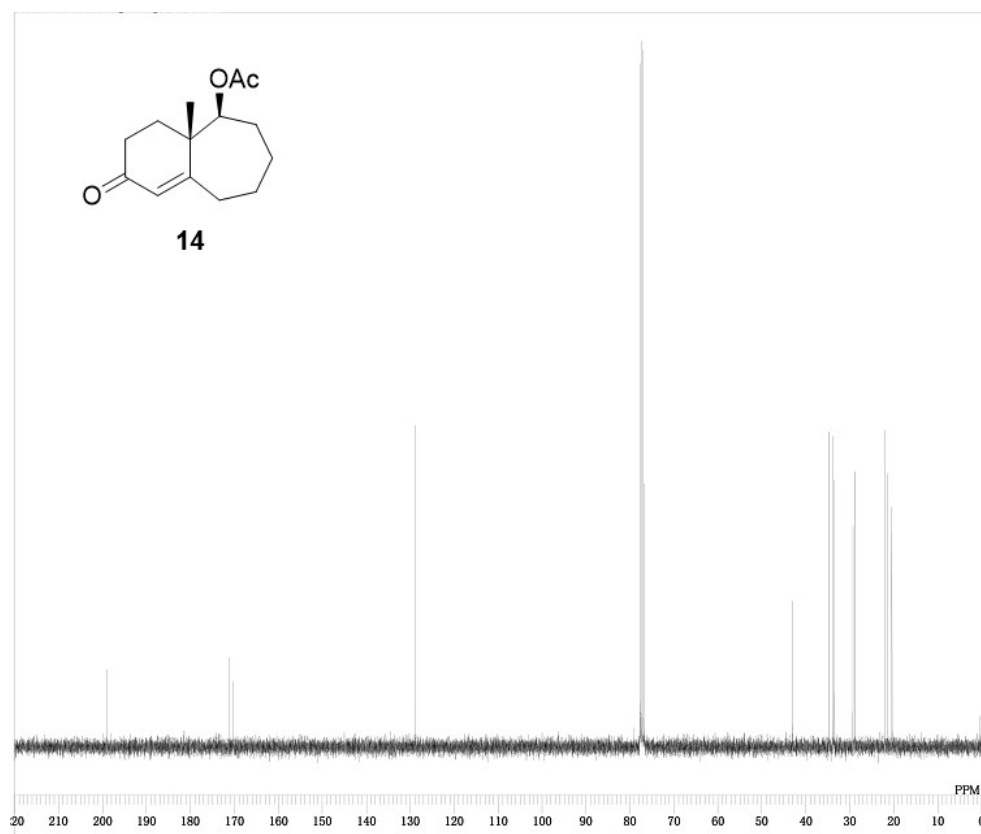
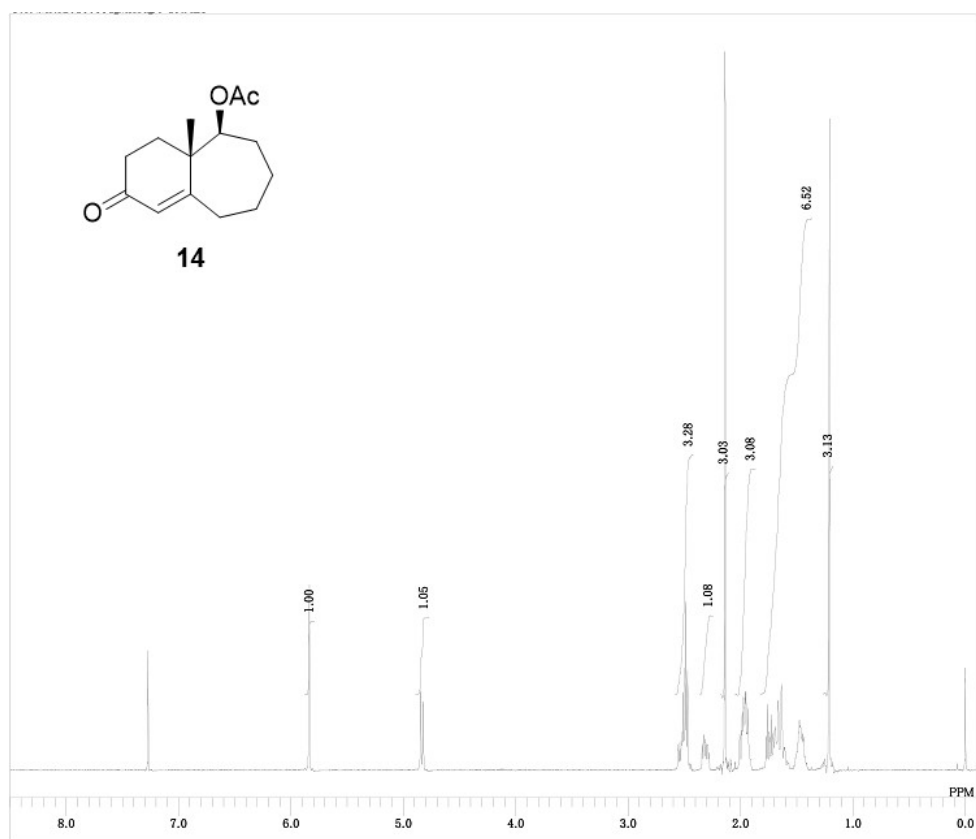
Spectra of compound (S)-3



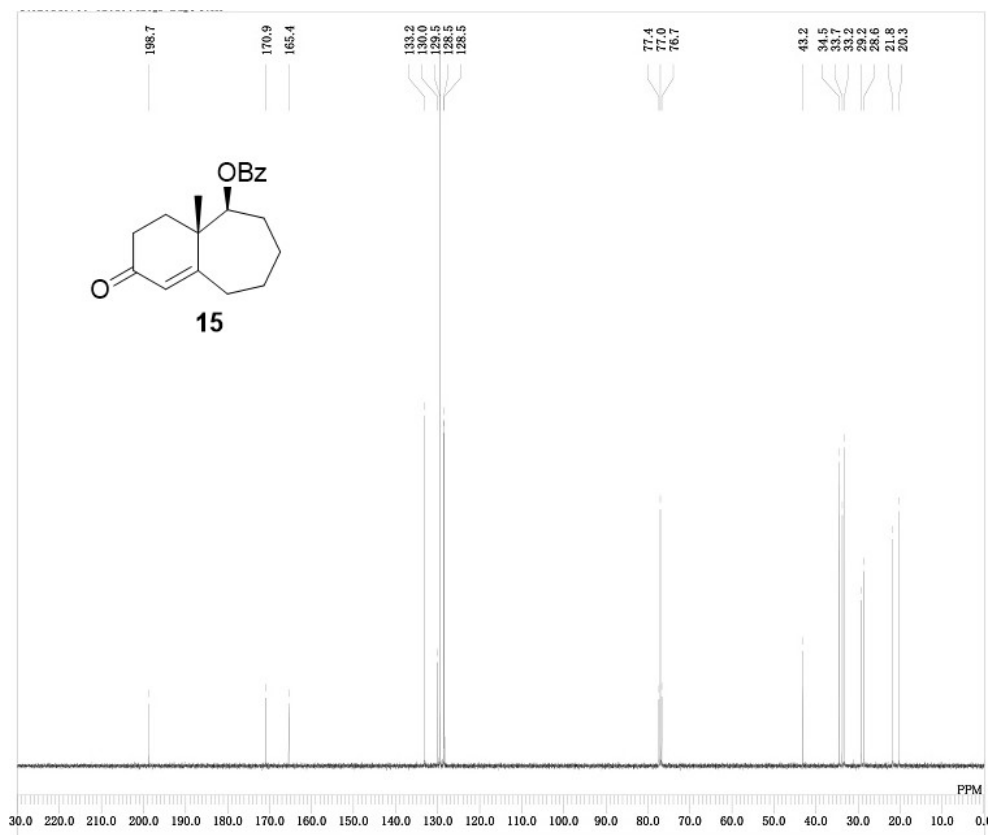
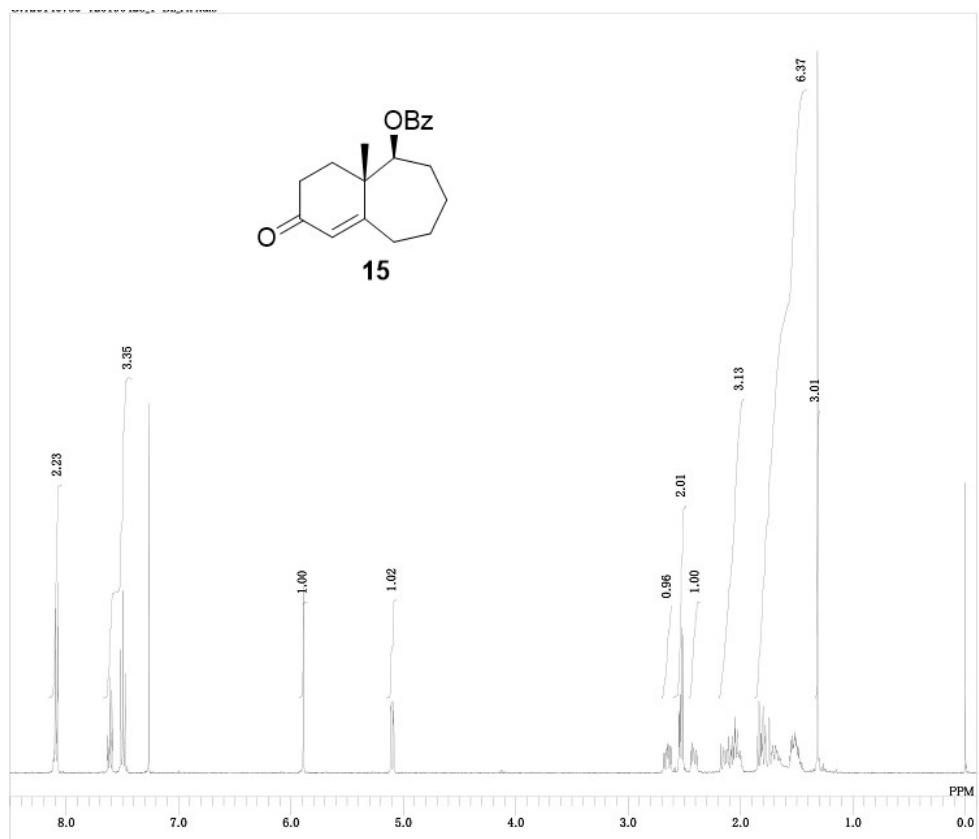
Spectra of compound (R)-3⁵



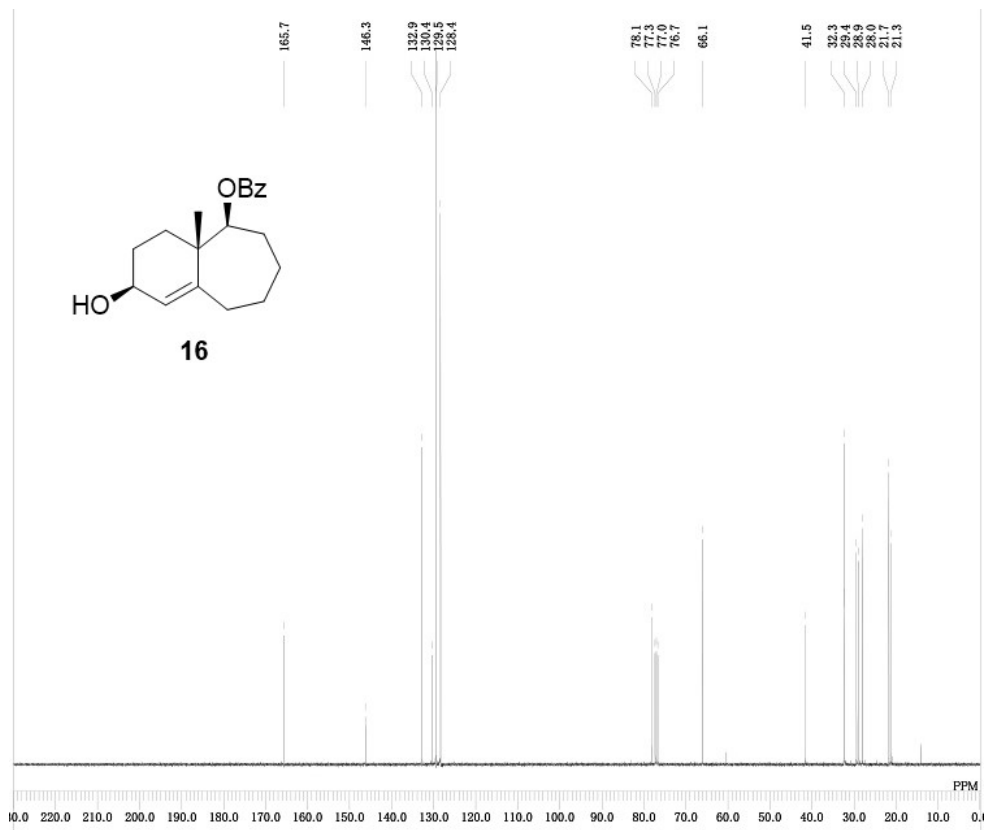
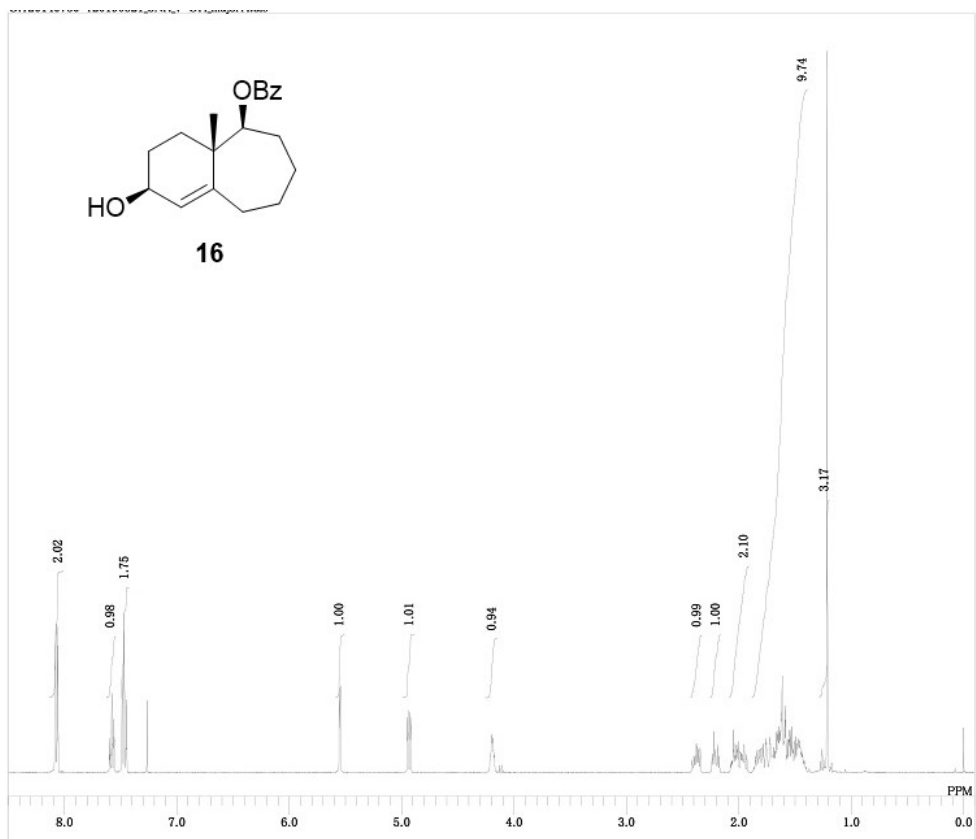
Spectra of compound **14**



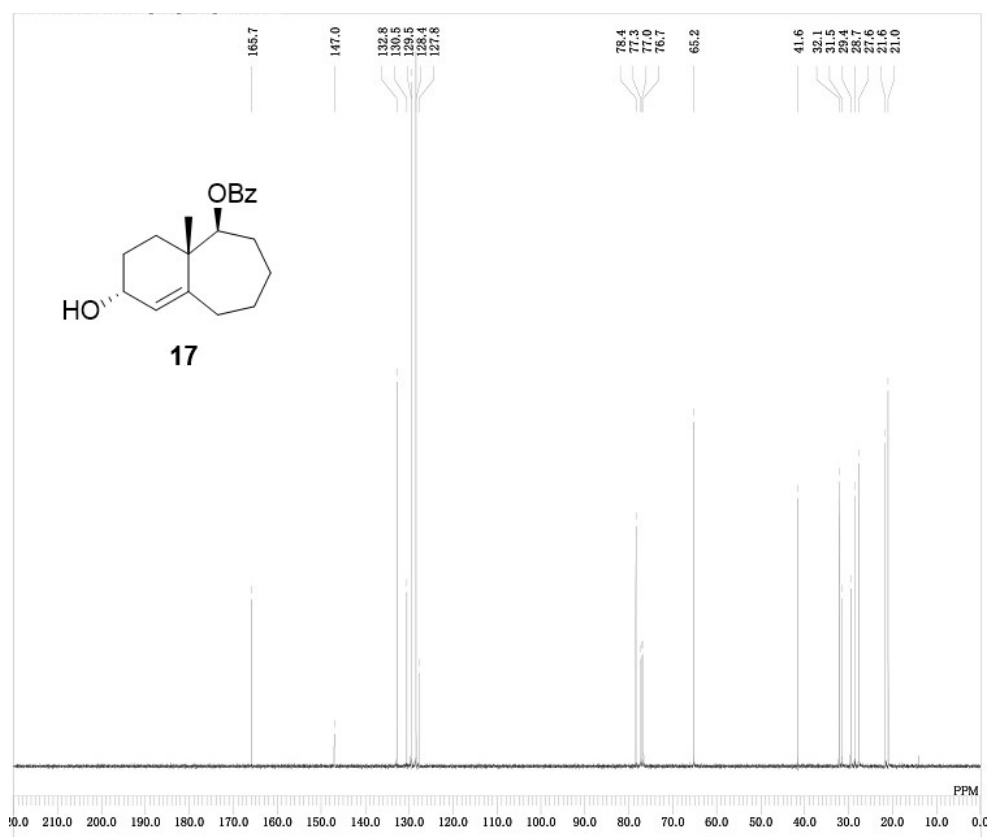
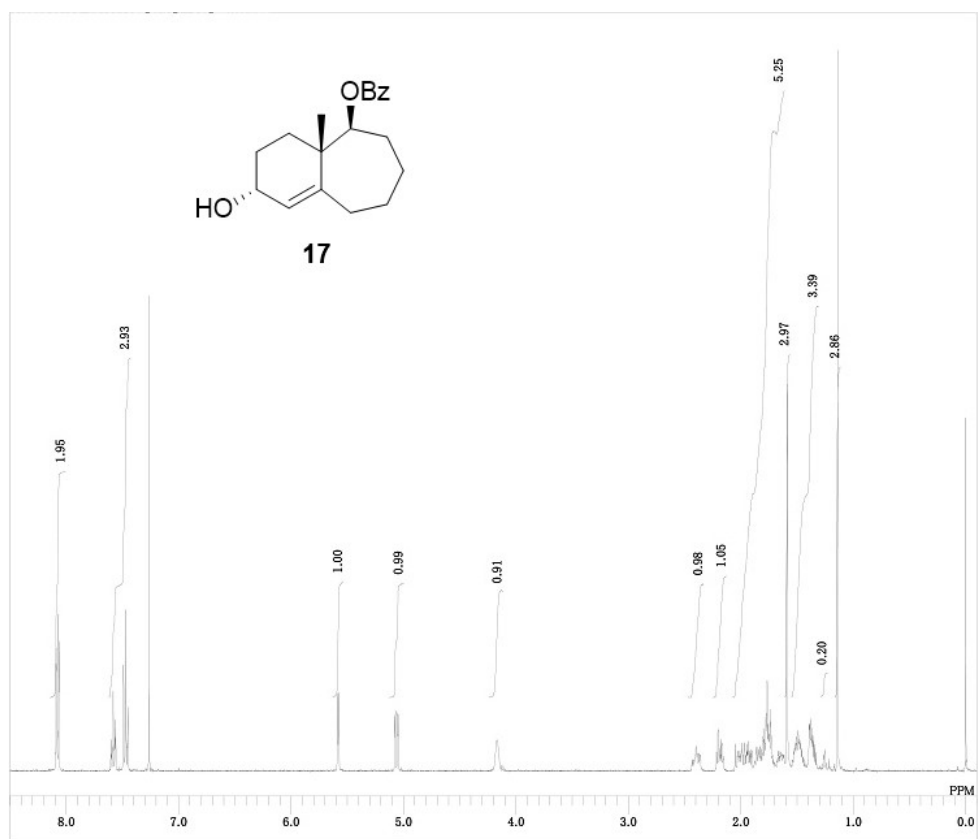
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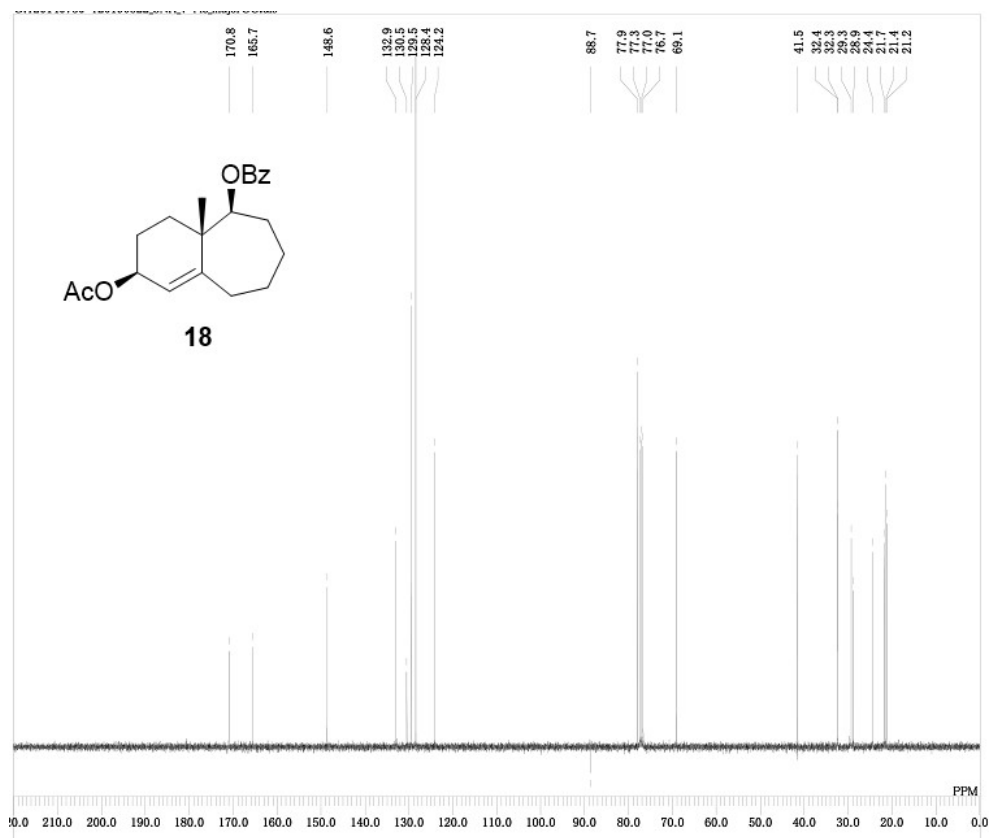
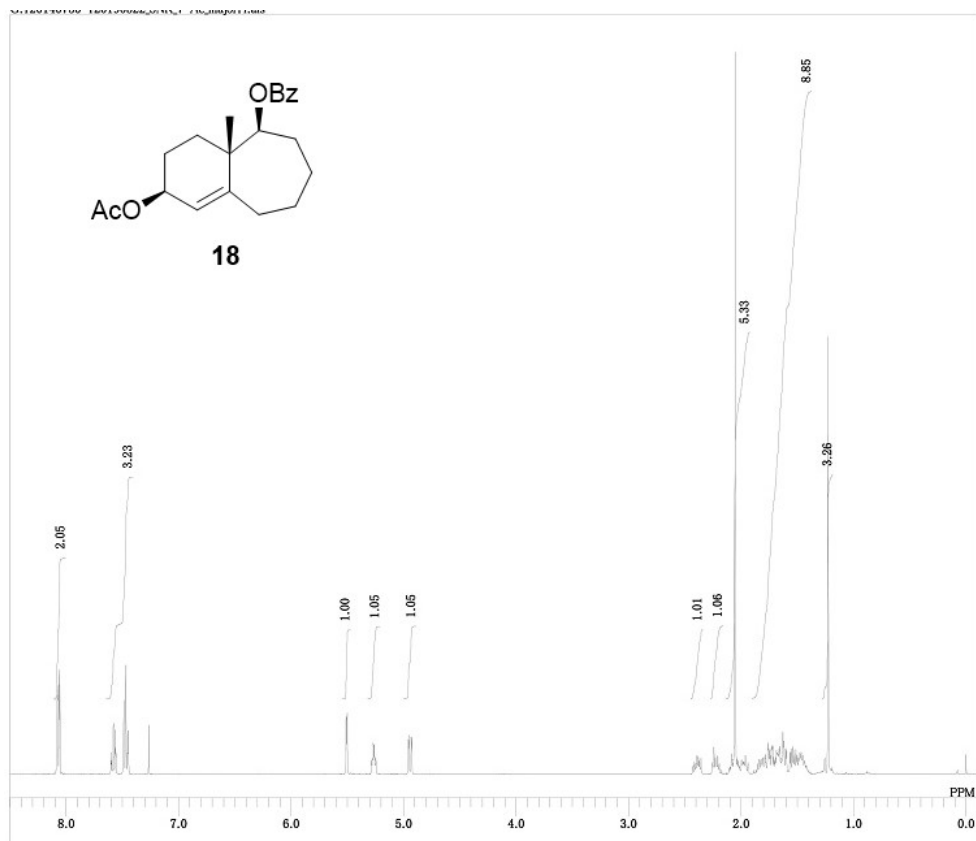
Spectra of compound **16**



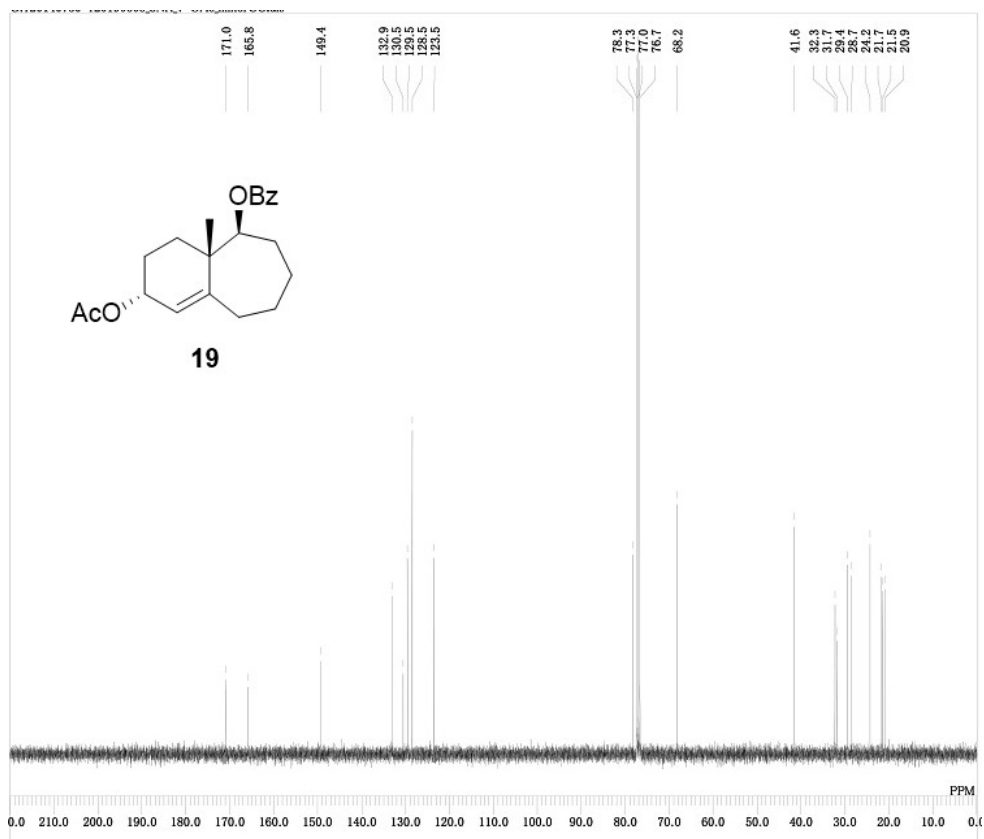
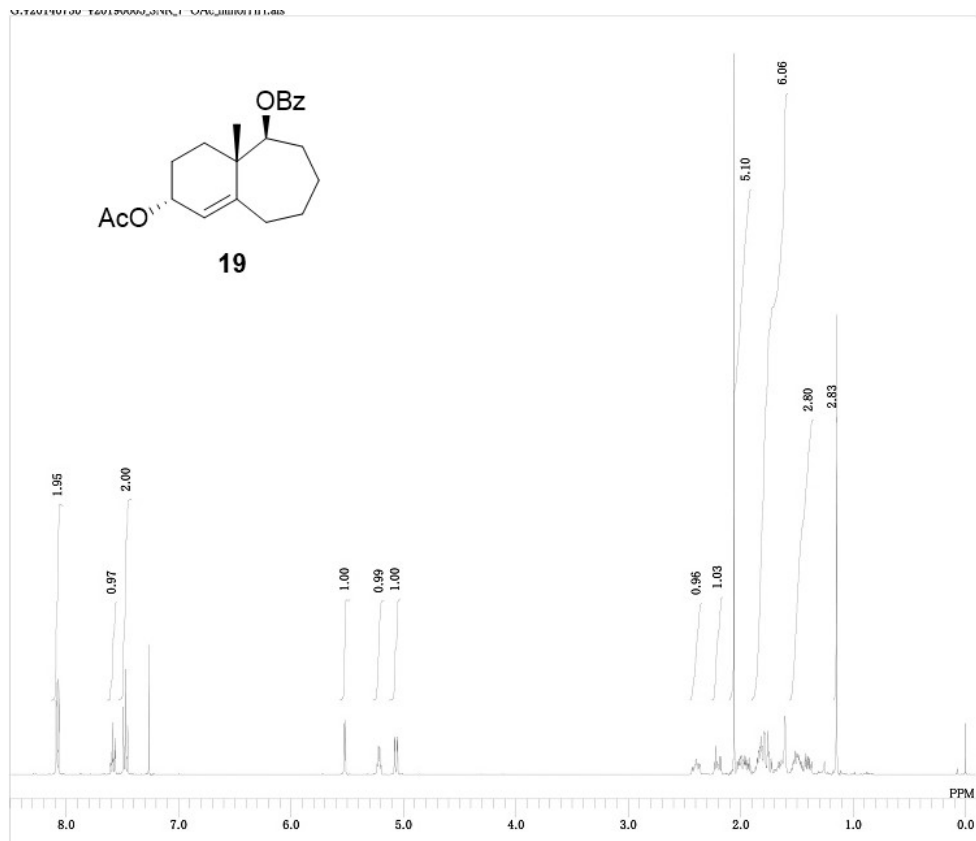
Spectra of compound **17**



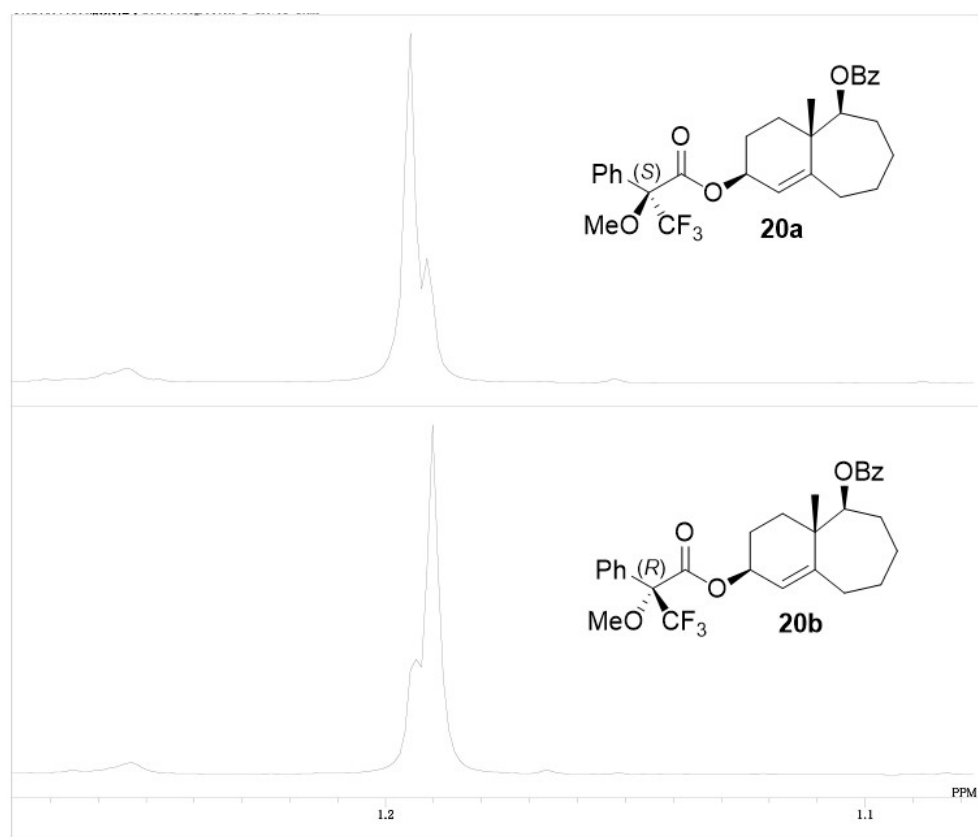
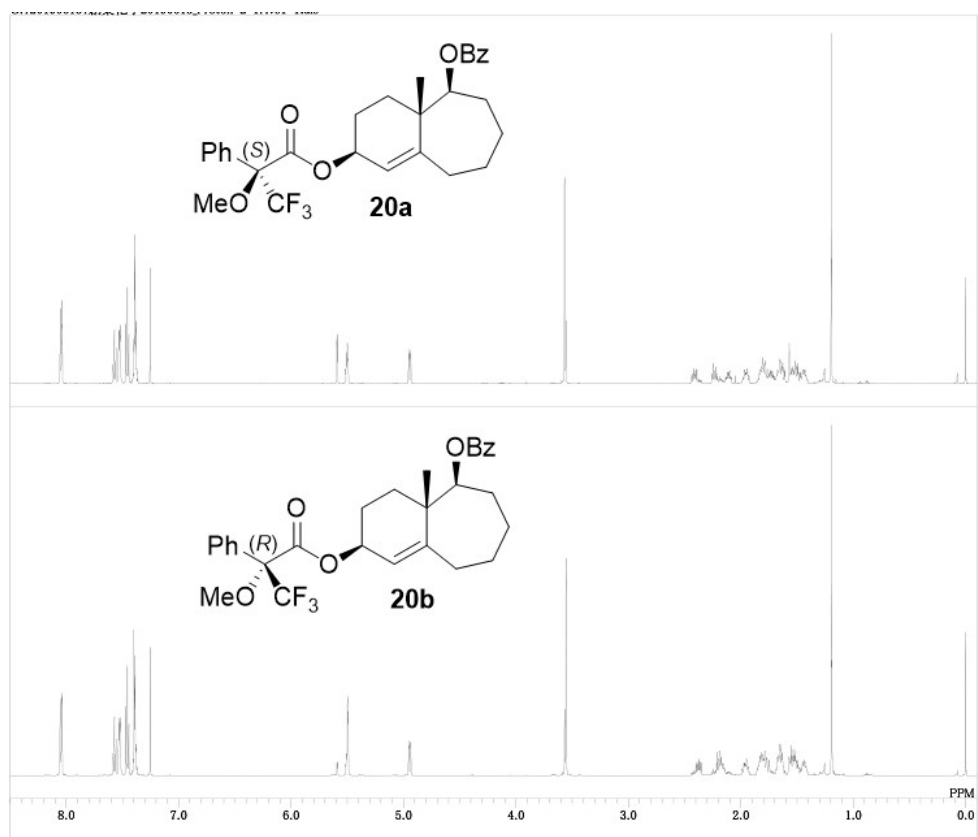
Spectra of compound **18**

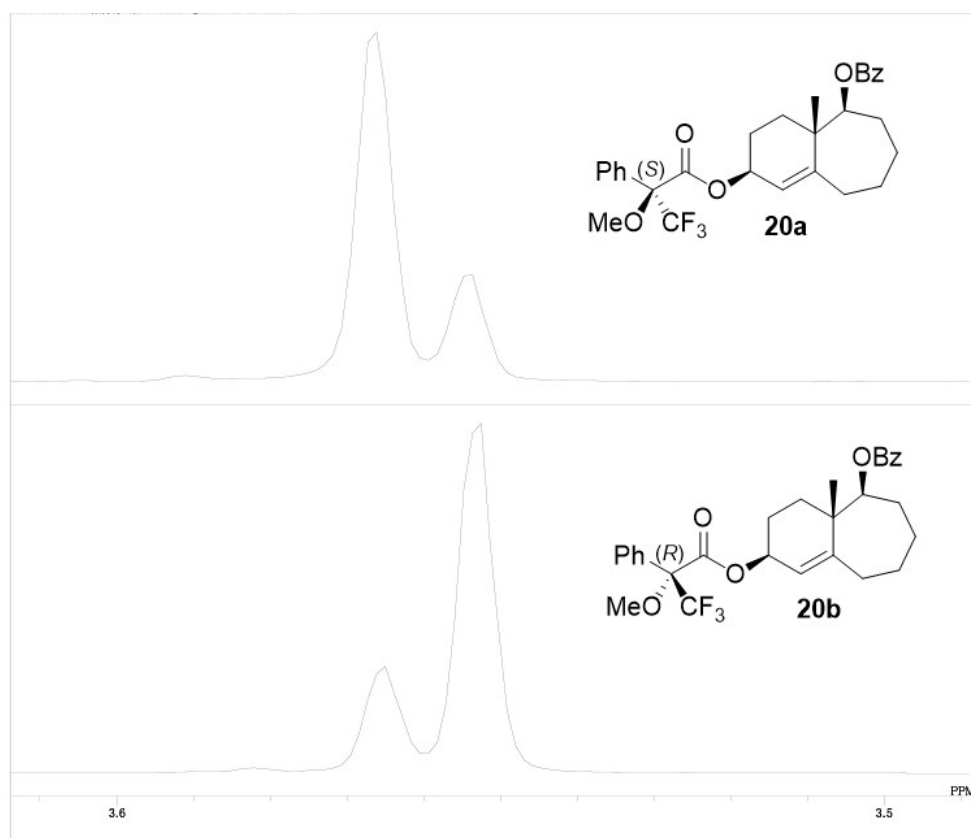
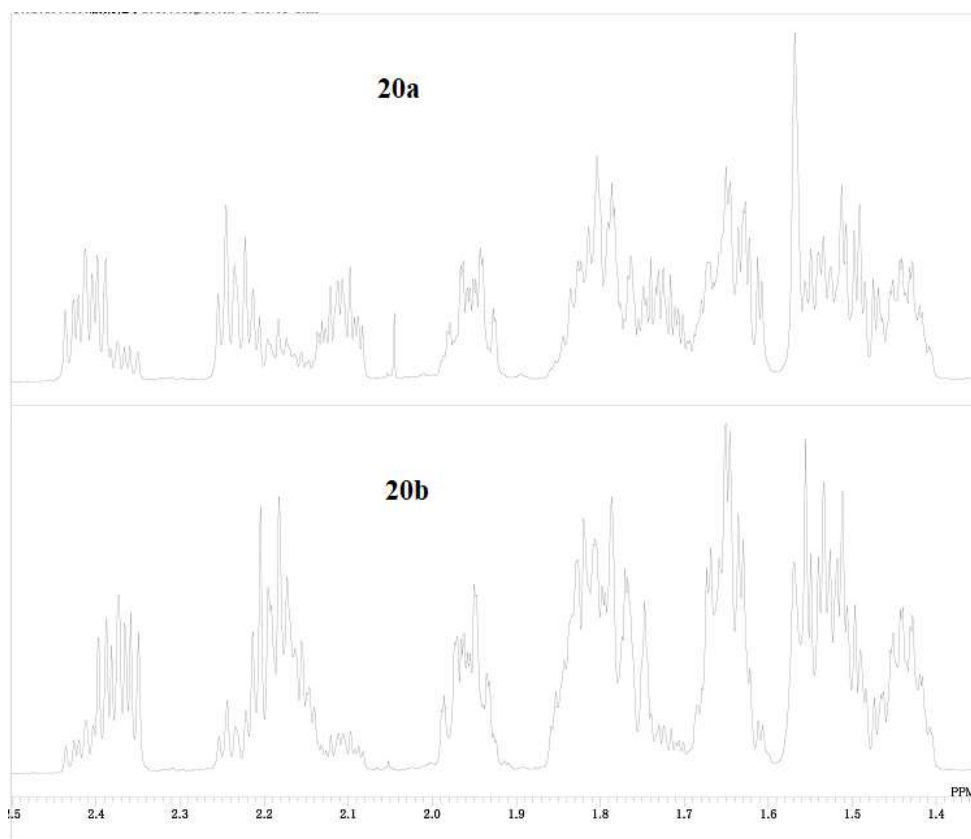


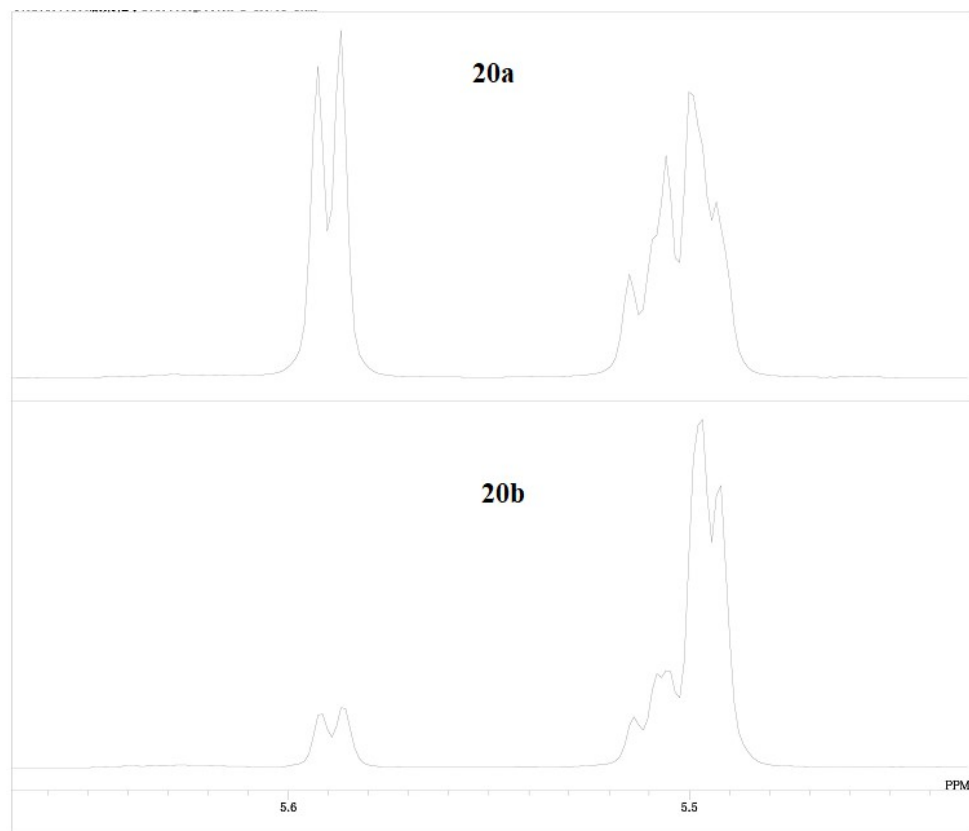
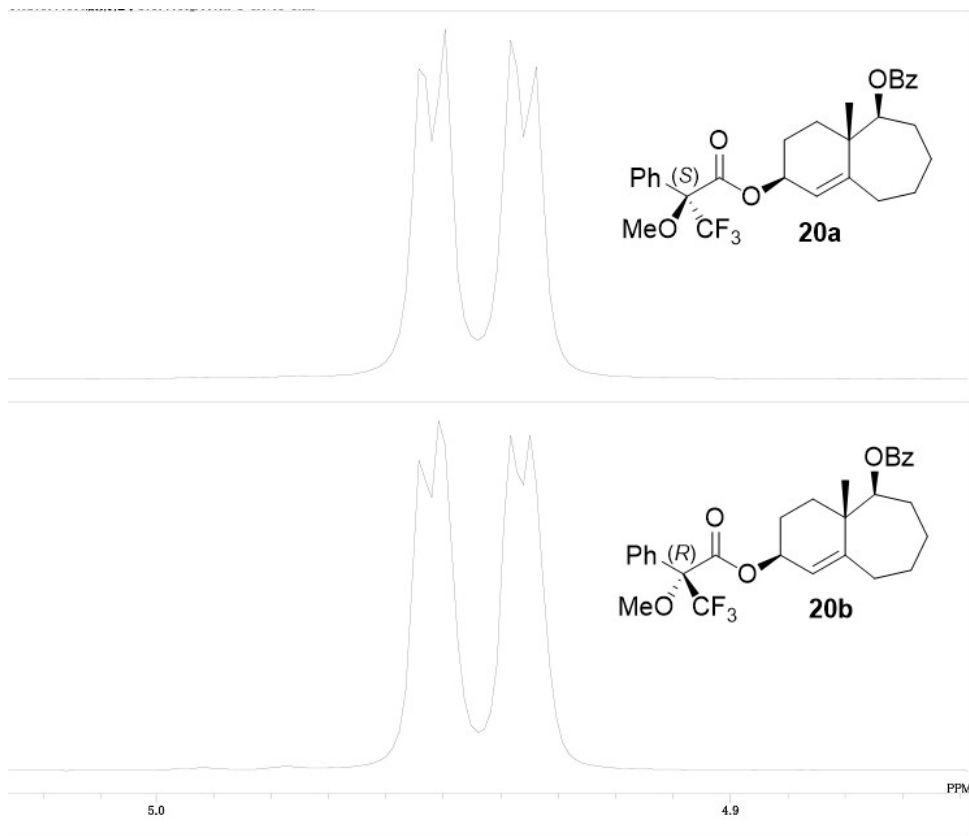
Spectra of compound **19**



Spectra of compound **20a** and **20b**

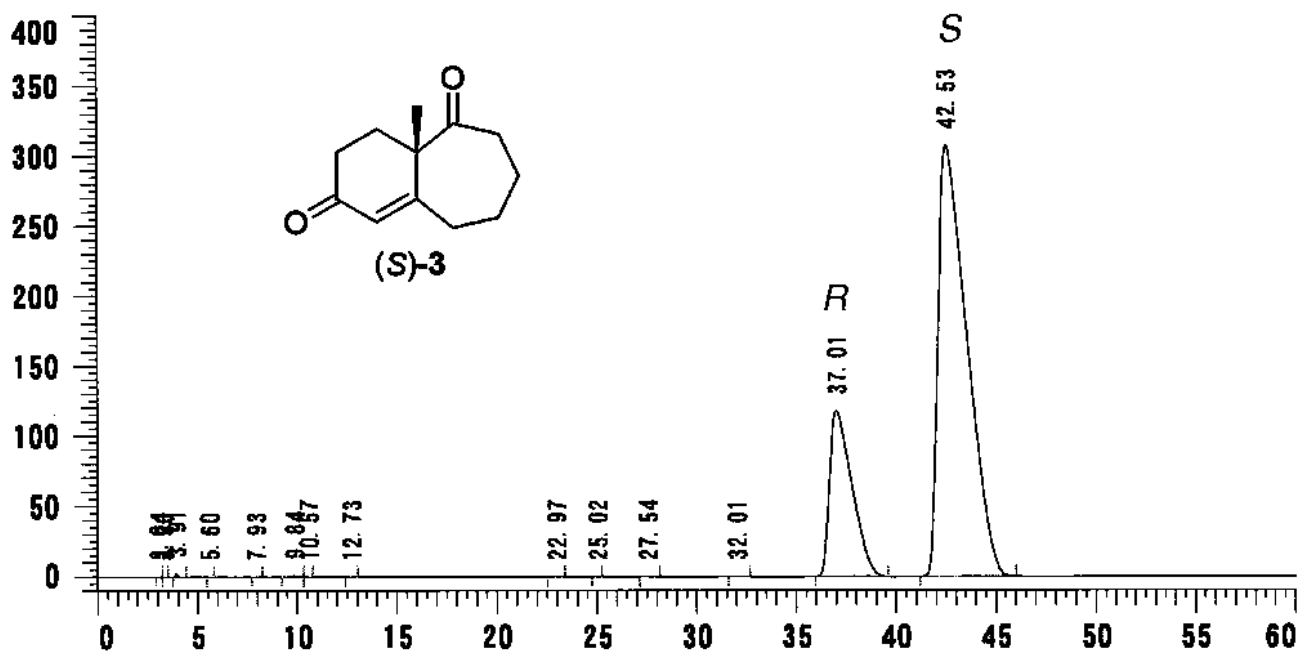






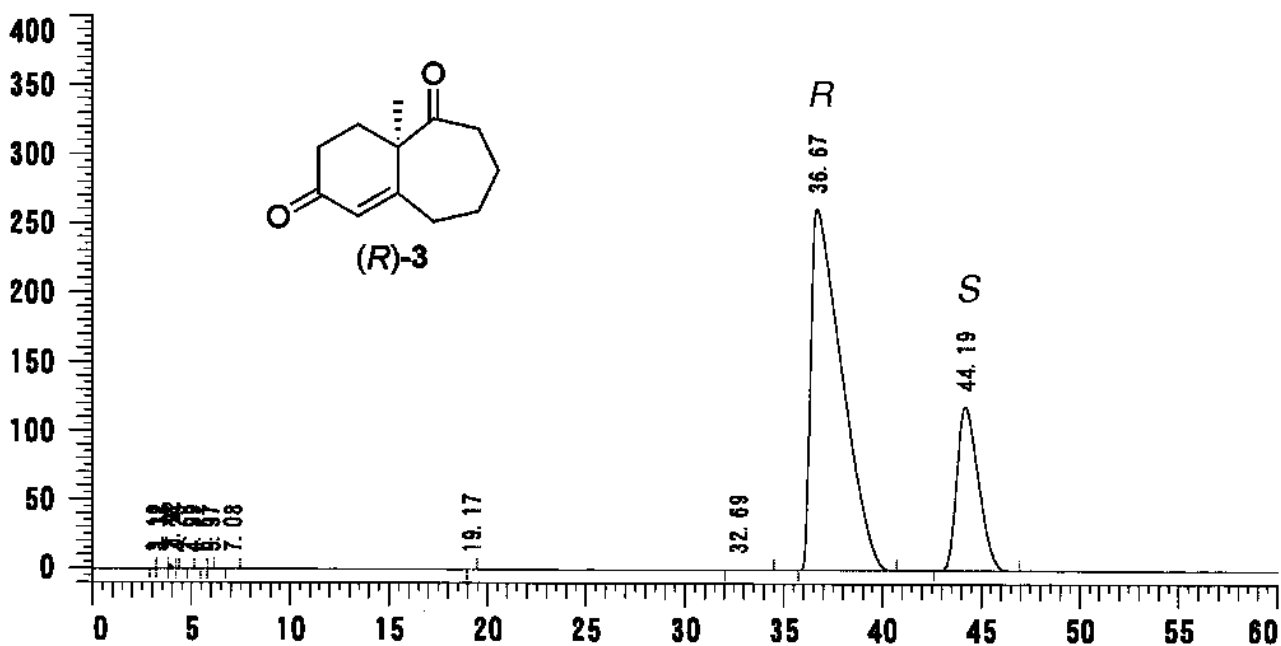
Compound (S)-3

HPLC conditions: Chiralpak AS-H, EtOH/hexane = 10/90 (v/v), flow rate 1.0 mL/min, detected at 254 nm, t_R = 37.0 min for (R)-3, 42.5 min for (S)-3.



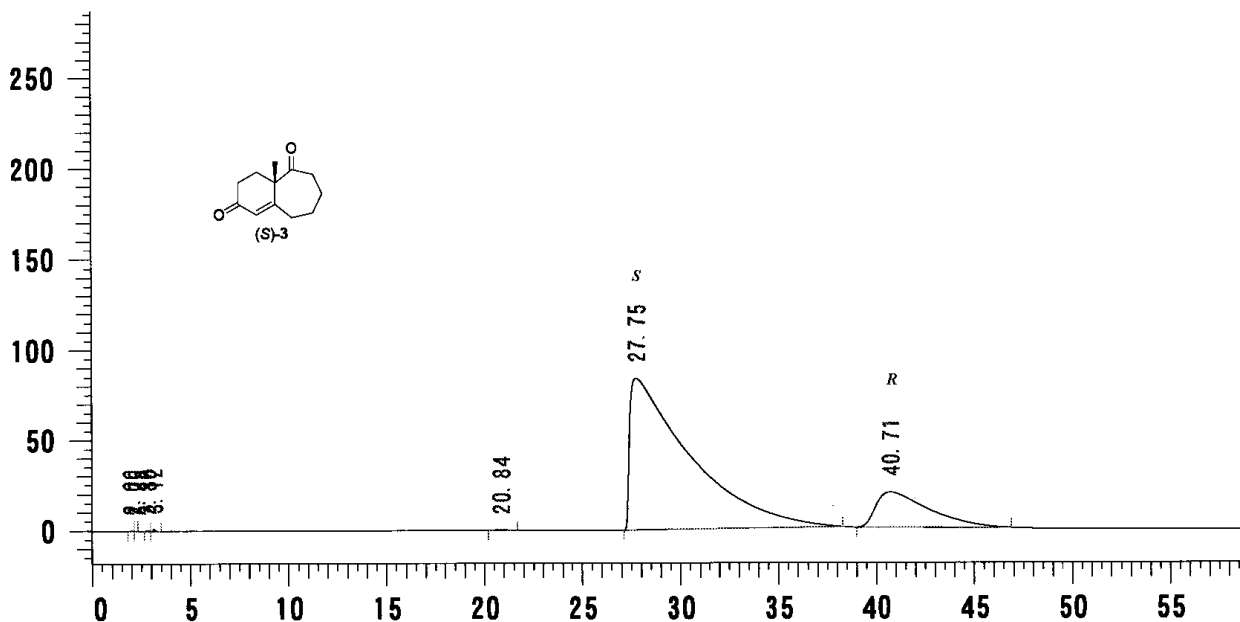
Compound (R)-3

HPLC conditions: Chiralpak AS-H, EtOH/hexane = 10/90 (v/v), flow rate 1.0 mL/min, detected at 254 nm, t_R = 36.7 min for (R)-3, 44.2 min for (S)-3.



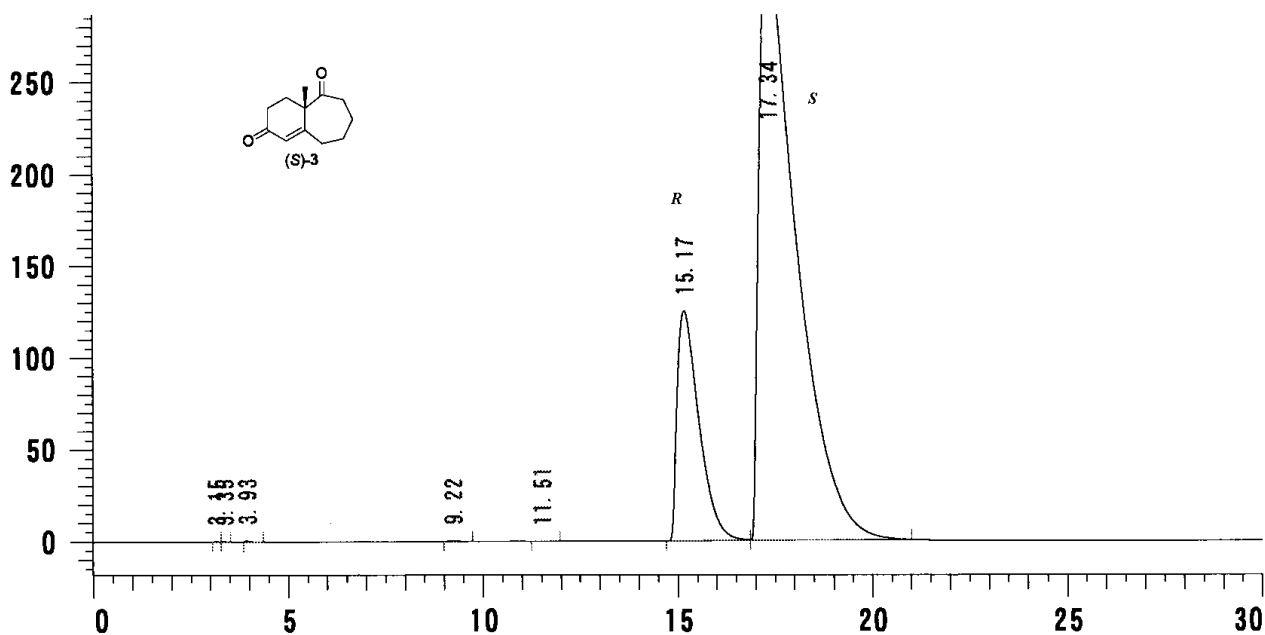
Compound (*S*)-3

HPLC conditions: Chiralpak OB-H, 2-propanol/hexane = 4/96 (v/v), flow rate 1.0 mL/min, detected at 254 nm, $t_R = 27.8$ min for (*S*)-3, 40.7 min for (*R*)-3.



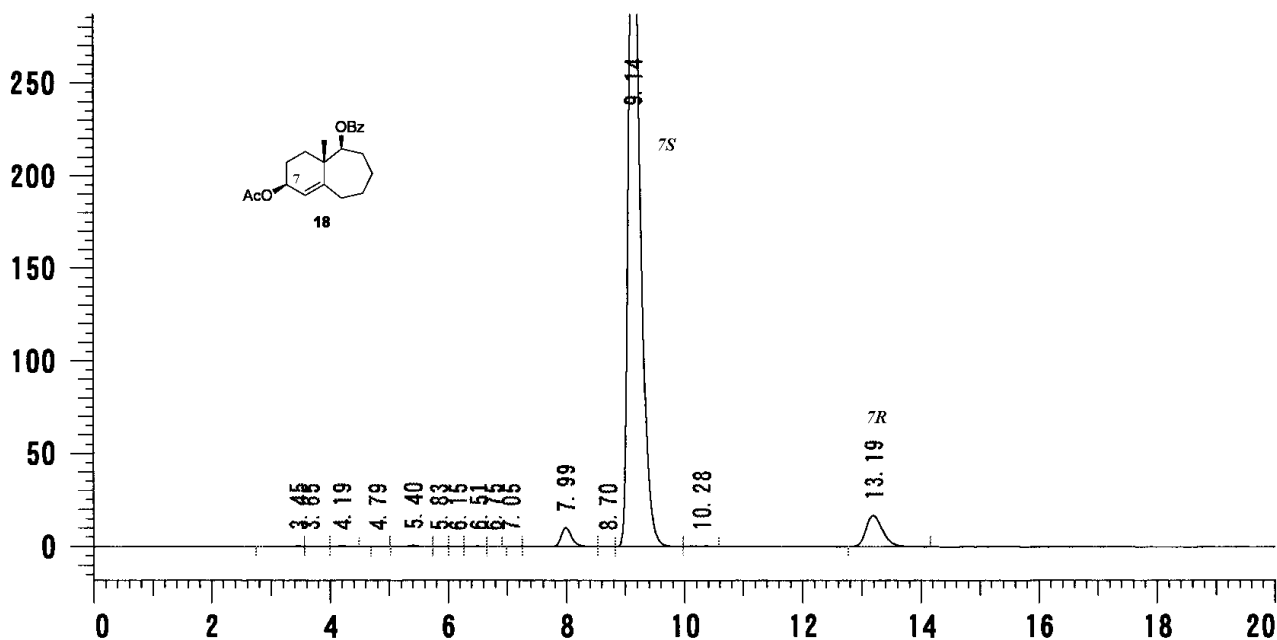
Compound (*S*)-3

HPLC conditions: Chiralpak OJ-H, 2-propanol/hexane = 10/90 (v/v), flow rate 1.0 mL/min, detected at 254 nm, $t_R = 15.2$ min for (*R*)-3, 17.3 min for (*S*)-3.



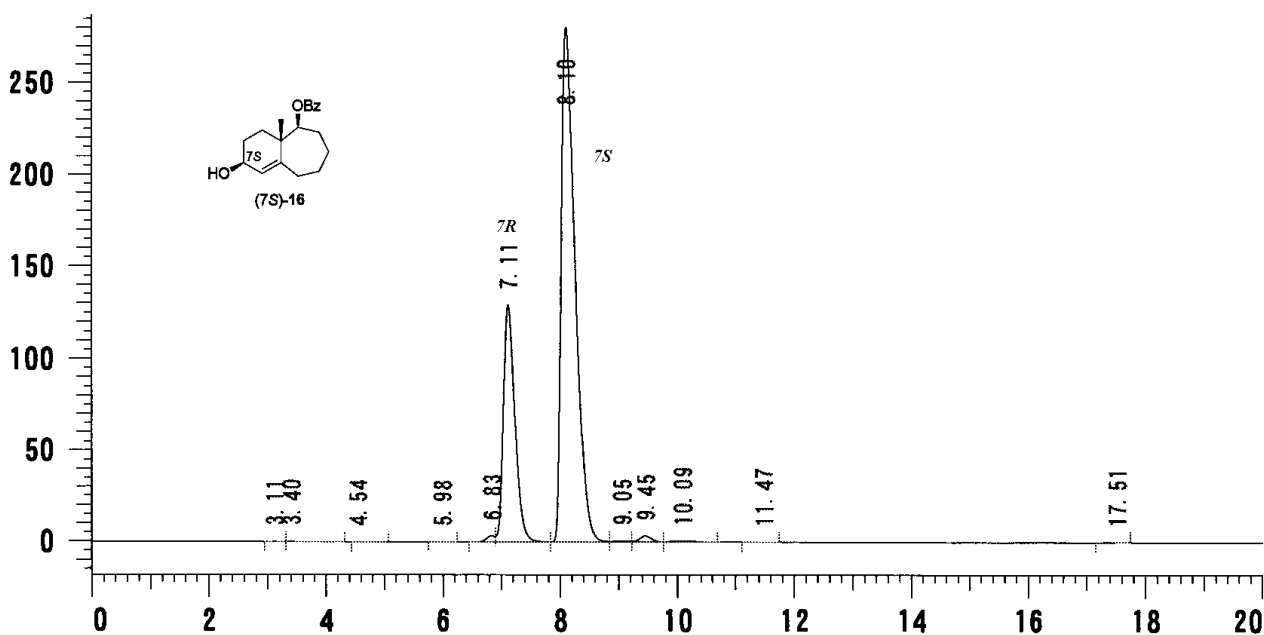
Compound (7*S*)-**18**

HPLC conditions: Chiralpak AD-H, 2-propanol/hexane = 2/98 (v/v), flow rate 1.0 mL/min, detected at 254 nm, t_R = 9.1 min for (7*S*)-**18**, 13.2 min for (7*R*)-**18**.



Compound (7*S*)-**16**

HPLC conditions: Chiralpak AY-H, 2-propanol/hexane = 10/90 (v/v), flow rate 1.0 mL/min, detected at 254 nm, t_R = 7.1 min for (7*R*)-**16**, 8.1 min for (7*S*)-**16**.



References and note

1. The trione (**7**) was prepared from 2-methylcycloheptan-1,3-dione⁶ by using a following method previously reported. X. Wang, S. C. Butler, J. C. Gallucci, and L. A. Paquette, *J. Org. Chem.*, 2009, **74**, 6825.
2. The reaction could not be monitored by a normal phase TLC, because retardation factors (R_f s) of **3** and **7** were very close each other.
3. A preparative HPLC instrument was used to separate **3** and **7**, when the reaction did not complete.
4. (a) K. Sano, Y. Kohari, H. Nakano, C. Seki, M. Takeshita, M. Tokiwa, Y. Hirose, and K. Uwai, *Synth. Commun.*, 2016, **46**, 46; (b) S. Cañellas, C. Ayats, A. H. Henseler, and M. Pericàs, *ACS Catal.*, 2017, **7**, 1383; (c) Y. Chen, J. Hu, L-D. Guo, W. Zhong, C. Ning, and J. Xu., *Angew. Chem. Int. Ed.*, 2019, **58**, 7390.
5. A. J. Fatiadi, *Synthesis*, 1976, **65**, 133.
6. K. Inomata and Y. Endo, *Heterocycles*, 2014, **88**, 997.